

Exhibit: 2020-10-02-AA

RESOLUTION TO REVIEW AND APPROVE NCTCOG'S INVESTMENT POLICY

WHEREAS, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

WHEREAS, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

WHEREAS, the Public Funds Investment Act requires public entities to develop written investment policies and strategies reviewed and approved annually by the governing body; and,

WHEREAS, the Public Funds Investment Act requires the governing body or designated investment committee of public entities to review and adopt annually a list of qualified brokers authorized to engage in investment transactions with the entity; and,

WHEREAS, the NCTCOG Investment Policy, which includes a listing of authorized brokers, has been presented to and reviewed by the Executive Board; and,

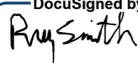
WHEREAS, the Executive Board finds the policy to provide for the safe and prudent investment of funds.

NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:

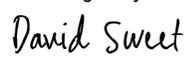
Section 1. The NCTCOG Executive Board approves the Investment Policy as included in Attachment 1.

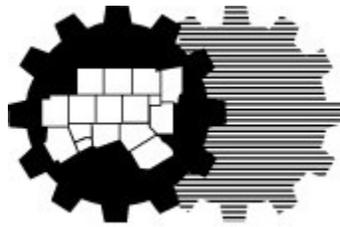
Section 2. NCTCOG staff is authorized to take such investment actions as the approved policy permits with authorized brokers.

Section 3. This resolution shall be in effect immediately upon its adoption.

DocuSigned by:

91E6348E1DC34E6
Ray Smith, President
North Central Texas Council of Governments
Mayor, Town of Prosper

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 22, 2020.

DocuSigned by:

8DE31A7D30B44CC
David Sweet
North Central Texas Council of Governments
County Judge, Rockwall County



Investment Policy

North Central Texas
Council of Governments

October 14, 2020

INVESTMENT POLICY

I. POLICY

It is the policy of the North Central Council of Governments (NCTCOG) that after allowing for the anticipated cash flow requirements of NCTCOG and giving due consideration to the safety and risk of investment, all available funds shall be invested in conformance with these legal and administrative guidelines, seeking to optimize interest earnings to the maximum extent possible.

Effective cash management is recognized as essential to good fiscal management. Investment interest is a source of revenue to NCTCOG funds. The NCTCOG's investment portfolio shall be designed and managed in a manner designed to maximize this revenue source, to be responsive to public trust, and to be in compliance with legal requirements and limitations.

Investments shall be made with the primary objectives of:

- * **Safety** and preservation of principal
- * Maintenance of sufficient **liquidity** to meet operating needs
- * **Public trust** from prudent investment activities
- * Optimization of **interest earnings** on the portfolio

II. PURPOSE

The purpose of this investment policy is to comply with applicable statutes, rules, regulations and Chapter 2256 of the Government Code ("Public Funds Investment Act"), which requires NCTCOG to adopt a written investment policy regarding the investment of its funds and funds under its control. The Investment Policy addresses the methods, procedures and practices that must be exercised to ensure effective and judicious fiscal management of the NCTCOG's funds.

III. SCOPE

This Investment Policy shall govern the investment of all financial assets of NCTCOG. These funds are accounted for in the NCTCOG's Comprehensive Annual Financial Report (CAFR) and include:

- General Fund
- Special Revenue Funds ⁽¹⁾
- Internal Service Funds
- Enterprise Funds
- Any new fund created by NCTCOG, unless specifically exempted from this Policy by the Executive Board or by law.

⁽¹⁾ Includes funds of the North Central Texas Emergency Communications District

NCTCOG may consolidate cash balances from all funds to maximize investment earnings. Investment income will be allocated to the various funds based on their respective participation and in accordance with generally accepted accounting principles.

This Investment Policy shall apply to all transactions involving the financial assets and related activity for all the foregoing funds. However, this policy does not apply to the assets administered for the benefit of NCTCOG by outside agencies under deferred compensation programs.

The following investment strategies are the guidelines to investment of the funds of NCTCOG. All investments, when aggregated together, must be within the diversification parameters listed with the authorized investments. From time to time, on a temporary basis, the actual investments within one fund

or another may vary slightly from its underlying strategy. However, these deviations should only be temporary and easily corrected.

- **Operating funds** include the general fund, internal service funds, and enterprise funds. These funds are necessary for the day to day operations of the NCTCOG's programs. Due to their short-term nature, these funds need to be available when needed. These funds will be invested in instruments authorized by this policy with a maturity not exceeding 180 days.
- **Advance funds** include any designated local, state, or federal advance funding within special revenue funds. These funds are necessary for the implementation of the NCTCOG's programs and tend to be short-term to mid-term in nature. These funds will be invested in instruments authorized by this policy with a maturity matched with programmatic needs not exceeding 365 days.
- **Designated funds** include programmed local funding within special revenue funds. These funds tend to be longer term in nature and not needed for immediate use. These funds will be invested in instruments authorized by this policy with a maturity matched with programmatic needs not exceeding two (2) years.

IV. INVESTMENT OBJECTIVES

NCTCOG shall manage and invest its cash with four primary objectives, listed in order of priority: **safety, liquidity, public trust, and yield, expressed as optimization of interest earnings.** The safety of the principal invested always remains the primary objective. All investments shall be designed and managed in a manner responsive to the public trust and consistent with state and local law.

NCTCOG shall maintain a comprehensive cash management program, which includes collection of account receivables, vendor payments in accordance with invoice terms, and prudent investment of available cash. Cash management is defined as the process of managing monies in order to insure maximum cash availability and maximum earnings on short-term investment of cash.

Safety [PFIA 2256.005(b)(2)]

Safety of principal is the foremost objective of the investment program. Investments shall be undertaken in a manner that seeks to ensure the preservation of capital in the overall portfolio. The objective will be to mitigate credit and interest rate risk.

- **Credit Risk and Concentration of Credit Risk** – NCTCOG will minimize credit risk, the risk of loss due to the failure of the issuer or backer of the investment, and concentration of credit risk, the risk of loss attributed to the magnitude of investment in a single issuer, by:
 - Limiting investments to the safest types of investments
 - Pre-qualifying the financial institutions and broker/dealers with which NCTCOG will do business
 - Diversifying the investment portfolio so that potential losses on individual issuers will be minimized.
- **Interest Rate Risk** – NCTCOG will manage the risk that the interest earnings and the market value of investments in the portfolio will fall due to changes in general interest rates by limiting the maximum weighted average maturity of the investment portfolio to 365 days. NCTCOG will, in addition,:
 - Structure the investment portfolio so that investments mature to meet cash requirements for ongoing operations, thereby avoiding the need to liquidate investments prior to maturity.
 - Invest operating funds primarily in certificates of deposit, shorter-term securities, money market mutual funds, or local government investment pools functioning as money market mutual funds.
 - Diversify maturities and staggering purchase dates to minimize the impact of market movements over time.

Liquidity [PFIA 2256.005(b)(2)]

The investment portfolio shall remain sufficiently liquid to meet all operating requirements that may be reasonably anticipated. This is accomplished by structuring the portfolio so that investments mature concurrent with cash needs to meet anticipated demands. Because all possible cash demands cannot be anticipated, a portion of the portfolio will be invested in shares of money market mutual funds or local government investment pools that offer same-day liquidity. In addition, a portion of the portfolio may consist of securities with active secondary or resale markets.

Public Trust

All participants in NCTCOG's investment process shall seek to act responsibly as custodians of the public trust. Investment officers shall avoid any transaction that might impair public confidence in NCTCOG's ability to govern effectively.

Yield (Optimization of Interest Earnings) [PFIA 2256.005(b)(3)]

The investment portfolio shall be designed with the objective of attaining a market rate of return throughout budgetary and economic cycles, taking into account the investment risk constraints and liquidity needs. Return on investment is of secondary importance compared to the safety and liquidity objectives described above.

V. RESPONSIBILITY AND CONTROL

Delegation of Authority [PFIA 2256.005(f)]

In accordance with applicable statutes, rules, regulations and the Public Funds Investment Act, the Board designates the Director of Administration as NCTCOG's Investment Officer. An Investment Officer or designee is authorized to execute investment transactions on behalf of NCTCOG. No person may engage in an investment transaction or the management of NCTCOG funds except as provided under the terms of this Investment Policy as approved by the Board. The investment authority granted to the investing officers is effective until rescinded by the Board.

Quality and Capability of Investment Management [PFIA 2256.005(b)(3)]

NCTCOG shall provide periodic training in investments for the designated investment officer(s) and other investment personnel through courses and seminars offered by professional organizations, associations, and other independent sources in order to insure the quality and capability of investment management in compliance with the Public Funds Investment Act.

Training Requirement [PFIA 2256.008 – Local Governments]

In accordance with applicable statutes, rules, regulations and the Public Funds Investment Act, designated Investment Officers shall attend an investment training session no less often than once every two years commencing on the first day of NCTCOG's fiscal year and consisting of the two consecutive fiscal years following that date. and shall receive not less than 10 hours of instruction relating to investment responsibilities. A newly appointed Investment Officer must attend a training session of at least 10 hours of instruction within twelve months of the date the officer took office or assumed the officer's duties. The investment training session shall be provided by an independent source approved by the Board (see Attachment). For purposes of this policy, an "independent source" from which investment training shall be obtained shall include a professional organization, an institution of higher education or any other sponsor other than a business organization with whom NCTCOG may engage in an investment transaction.

Internal Controls

The Director of Administration is responsible for establishing and maintaining an internal control structure designed to ensure that the assets of NCTCOG are protected from loss, theft, or misuse. The internal

control structure shall be designed to provide reasonable assurance that these objectives are met. The concept of reasonable assurance recognizes that (1) the cost of a control should not exceed the benefits likely to be derived; and (2) the valuation of costs and benefits requires estimates and judgments by management.

Accordingly, the Director of Administration shall establish a process for annual independent review by an external auditor to assure compliance with policies and procedures. The internal controls shall address the following points.

- Control of collusion.
- Separation of transactions authority from accounting and record keeping.
- Custodial safekeeping.
- Avoidance of physical delivery securities.
- Clear delegation of authority to subordinate staff members.
- Written confirmation for all transactions for investments and wire transfers.
- Development of a wire transfer agreement with the depository bank or third party custodian.

Prudence [PFIA 2256.006]

The standard of prudence to be applied by the Investment Officer shall be the “prudent investor” rule. This rule states that “Investments shall be made with judgment and care, under circumstances then prevailing, which persons of prudence, discretion and intelligence exercise in the management of their own affairs, not for speculation, but for investment, considering the probable safety of their capital as well as the probable income to be derived.” In determining whether an Investment Officer has exercised prudence with respect to an investment decision, the determination shall be made taking into consideration:

- The investment of all funds, or funds under NCTCOG’s control, over which the officer had responsibility rather than a consideration as to the prudence of a single investment.
- Whether the investment decision was consistent with the written approved investment policy of NCTCOG.

Indemnification

The Investment Officer, acting in accordance with written procedures and exercising due diligence, shall not be held personally responsible for a specific investment’s credit risk or market price changes, provided that these deviations are reported immediately and the appropriate action is taken to control adverse developments.

Ethics and Conflicts of Interest [PFIA 2256.005(j)]

Officers and employees involved in the investment process shall refrain from personal business activity that would conflict with the proper execution and management of the investment program, or that would impair their ability to make impartial decisions. Employees and Investment Officers shall disclose any material interests in financial institutions with which they conduct business. They shall further disclose any personal financial/investment positions that could be related to the performance of the investment portfolio. Employees and officers shall refrain from undertaking personal investment transactions with the same individual with which business is conducted on behalf of the NCTCOG.

An Investment Officer of NCTCOG who has a personal business relationship with an organization seeking to sell an investment to NCTCOG shall file a statement disclosing that personal business interest. An Investment Officer who is related within the second degree by affinity or consanguinity to an individual seeking to sell an investment to NCTCOG shall file a statement disclosing that relationship. A statement required under this subsection must be filed with the Texas Ethics Commission and the Board.

VI. SUITABLE AND AUTHORIZED INVESTMENTS

Portfolio Management

NCTCOG currently has a “buy and hold” portfolio strategy. Maturity dates are matched with cash flow requirements and investments are purchased with the intent to be held until maturity. However, investments may be liquidated prior to maturity for the following reasons:

- An investment with declining credit may be liquidated early to minimize loss of principal.
- Cash flow needs of NCTCOG require that the investment be liquidated.
- Portfolio restructuring to accommodate NCTCOG needs and requirements or to address market conditions.

Investments [PFIA 2256.005(b)(4)(A)]

NCTCOG funds governed by this policy may be invested in the instruments described below, all of which are authorized by Chapter 2256 of the Government Code (Public Funds Investment Act). Investment of NCTCOG funds in any instrument or security not authorized for investment under the Act is prohibited. NCTCOG will not be required to liquidate an investment that becomes unauthorized subsequent to its purchase.

I. Authorized

1. Obligations of the United States of America, its agencies and instrumentalities.
2. Certificates of Deposit issued by a depository institution that has its main office or a branch office in Texas. The certificate of deposit must be guaranteed or insured by the Federal Deposit Insurance Corporation or its successor or the National Credit Union Share Insurance Fund or its successor and secured by obligations in a manner and amount as provided by law. In addition, certificates of deposit obtained through a depository institution that has its main office or a branch office in Texas and that contractually agrees to place the funds in federally insured depository institutions in accordance with the conditions prescribed in Section 2256.010(b) of the Public Funds Investment Act are authorized investments.
3. Fully collateralized direct repurchase agreements with a defined termination date secured by obligations of the United States or its agencies and instrumentalities. These shall be pledged to NCTCOG, held in NCTCOG’s name, and deposited at the time the investment is made with NCTCOG or with a third party selected and approved by NCTCOG. Repurchase agreements must be purchased through a primary government securities dealer, as defined by the Federal Reserve, or a financial institution doing business in Texas. A Master Repurchase Agreement must be signed by the bank/dealer prior to investment in a repurchase agreement. All repurchase agreement transactions will be on a delivery vs. payment basis. Securities received for repurchase agreements must have a market value greater than or equal to 102 percent at the time funds are disbursed. (Sweep Accounts and/or Bond Proceeds)
4. Money Market Mutual funds that: 1) are registered and regulated by the Securities and Exchange Commission, 2) have a dollar weighted average stated maturity of 90 days or less, 3) seek to maintain a net asset value of \$1.00 per share, and 4) are rated AAA by at least one nationally recognized rating service.
5. Local government investment pools, which 1) meet the requirements of Chapter 2256.016 of the Public Funds Investment Act, 2) are rated no lower than AAA or an equivalent rating by at least one nationally recognized rating service, and 3) are authorized by resolution or ordinance by the Board. In addition, a local government investment pool created to function as a money market mutual fund must mark its portfolio to the market daily and, to the extent reasonably possible, stabilize at \$1.00 net asset value.

All prudent measures will be taken to liquidate an investment that is downgraded to less than the required minimum rating. (PFIA 2256.021)

II. Not Authorized [PFIA 2256.009(b)(1-4)]

Investments including interest-only or principal-only strips of obligations with underlying mortgage-backed security collateral, collateralized mortgage obligations with an inverse floating interest rate or a maturity date of over 10 years are strictly prohibited.

VII. INVESTMENT PARAMETERS

Maximum Maturities [PFIA 2256.005(b)(4)(B)]

The longer the maturity of investments, the greater their price volatility. Therefore, it is NCTCOG’s policy to concentrate its investment portfolio in shorter-term securities in order to limit principal risk caused by changes in interest rates.

NCTCOG attempts to match its investments with anticipated cash flow requirements. NCTCOG will not directly invest in securities maturing more than two (2) years from the date of purchase; however, the above described obligations, certificates, or agreements may be collateralized using longer dated investments.

Because no secondary market exists for repurchase agreements, the maximum maturity shall be 120 days except in the case of a flexible repurchase agreement for bond proceeds. The maximum maturity for such an investment shall be determined in accordance with project cash flow projections and the requirements of the governing bond ordinance.

The composite portfolio will have a weighted average maturity of 365 days or less. This dollar-weighted average maturity will be calculated using the stated final maturity dates of each security. [PFIA 2256.005(b)(4)(C)]

Diversification [PFIA 2256.005(b)(3)]

NCTCOG recognizes that investment risks can result from issuer defaults, market price changes or various technical complications leading to temporary illiquidity. Risk is managed through portfolio diversification that shall be achieved by the following general guidelines:

- Limiting investments to avoid over concentration in investments from a specific issuer or business sector (excluding U.S. Treasury securities and certificates of deposit that are fully insured and collateralized in accordance with state and federal law),
- Limiting investment in investments that have higher credit risks (example: commercial paper),
- Investing in investments with varying maturities, and
- Continuously investing a portion of the portfolio in readily available funds such as local government investment pools (LGIPs), money market funds or overnight repurchase agreements to ensure that appropriate liquidity is maintained in order to meet ongoing obligations.

The following maximum limits, by instrument, are established for NCTCOG’s portfolio:

1. U.S. Treasury Securities 100%
2. U.S. Agencies and Instrumentalities 85%
3. Certificates of Deposit 100%
4. Repurchase Agreements ⁽¹⁾ 20%
5. Money Market Mutual Funds ⁽²⁾ 50%
6. Authorized Pools 100%

⁽¹⁾ Excluding flexible repurchase agreements for bond proceeds investments

⁽²⁾ No single investment in any one money market mutual fund which exceeds 10% of the total assets of the money market mutual fund

VIII. SELECTION OF BANKS AND DEALERS

Depository [Chapter 105.017, Local Government Code]

At least every 5 years a Depository shall be selected through the NCTCOG's banking services procurement process, which shall include a formal request for proposal (RFP). The selection of a depository will be determined by competitive bid and evaluation of bids will be based on the following selection criteria:

- The ability to qualify as a depository for public funds in accordance with state law.
- The ability to provide requested information or financial statements for the periods specified.
- The ability to meet all requirements in the banking RFP.
- Complete response to all required items on the bid form
- Lowest net banking service cost, consistent with the ability to provide an appropriate level of service.
- The credit worthiness and financial stability of the bank.

Authorized Brokers/Dealers [PFIA 2256.025]

NCTCOG shall, at least annually, review, revise, and adopt a list of qualified brokers/dealers and financial institutions authorized to engage in securities transactions with NCTCOG. A designated investment committee or Executive Board will at least annually review and adopt the list of qualified brokers/dealers (see Attachment). Those firms that request to become qualified bidders for securities transactions will be required to provide a completed broker/dealer questionnaire that provides information regarding creditworthiness, experience and reputation and 2) a certification stating the firm has received, read and understood NCTCOG's investment policy and agree to comply with the policy. Authorized firms may include primary dealers or regional dealers that qualify under Securities & Exchange Commission Rule 15C3-1 (Uniform Net Capital Rule), and qualified depositories. All investment providers, including financial institutions, banks, money market mutual funds, and local government investment pools, must sign a certification acknowledging that the organization has received and reviewed NCTCOG's investment policy and that reasonable procedures and controls have been implemented to preclude investment transactions that are not authorized by NCTCOG's policy. [PFIA 2256.005(k-l)]

Competitive Bids

It is the policy of NCTCOG to require competitive bidding for all individual security purchases and sales except for: a) transactions with money market mutual funds and local government investment pools and b) treasury and agency securities purchased at issue through an approved broker/dealer or financial institution.

Delivery vs. Payment [PFIA 2256.005(b)(4)(E)]

Securities shall be purchased using the **delivery vs. payment** method with the exception of investment pools and mutual funds. Funds will be released after notification that the purchased security has been received.

IX. CUSTODIAL CREDIT RISK MANAGEMENT

Safekeeping and Custodial Agreements

NCTCOG shall contract with a bank or banks for the safekeeping of securities either owned by NCTCOG as part of its investment portfolio or held as collateral to secure demand or time deposits. Securities owned by NCTCOG shall be held in NCTCOG's name as evidenced by safekeeping receipts of the institution holding the securities.

Collateral for deposits will be held by a third party custodian designated by NCTCOG and pledged to NCTCOG as evidenced by safekeeping receipts of the institution with which the collateral is deposited. Original safekeeping receipts shall be obtained. Collateral may be held by the depository bank's trust department, a Federal Reserve bank or branch of a Federal Reserve bank, a Federal Home Loan Bank, or a third party bank approved by NCTCOG.

Collateral Policy [PFCA 2257.023]

Consistent with the requirements of the Public Funds Collateral Act, it is the policy of NCTCOG to require full collateralization of all NCTCOG funds on deposit with a depository bank, other than investments. In order to anticipate market changes and provide a level of security for all funds, the collateralization level will be 105% of market value of principal and accrued interest on the deposits or investments less an amount insured by the FDIC. At its discretion, NCTCOG may require a higher level of collateralization for certain investment securities. Securities pledged as collateral shall be held by an independent third party with which NCTCOG has a current custodial agreement. The Deputy Executive Director is responsible for entering into collateralization agreements with third party custodians in compliance with this Policy. The agreements are to specify the acceptable investment securities for collateral, including provisions relating to possession of the collateral, the substitution or release of investment securities, ownership of securities, and the method of valuation of securities. A clearly marked evidence of ownership (safekeeping receipt) must be supplied to NCTCOG and retained. Collateral shall be reviewed at least monthly to assure that the market value of the pledged securities is adequate.

Collateral Defined

NCTCOG shall accept only the following types of collateral:

- Obligations of the United States or its agencies and instrumentalities
- Direct obligations of the state of Texas or its agencies and instrumentalities
- Collateralized mortgage obligations directly issued by a federal agency or instrumentality of the United States, the underlying security for which is guaranteed by an agency or instrumentality of the United States
- Obligations of states, agencies, counties, cities, and other political subdivisions of any state rated as to investment quality by a nationally recognized rating firm not less than A or its equivalent with a remaining maturity of ten (10) years or less
- A surety bond issued by an insurance company rated as to investment quality by a nationally recognized rating firm not less than A
- A letter of credit issued to NCTCOG by the Federal Home Loan Bank

Subject to Audit

All collateral shall be subject to inspection and audit by the NCTCOG or NCTCOG's independent auditors.

X. PERFORMANCE

Performance Standards

NCTCOG's investment portfolio will be managed in accordance with the parameters specified within this policy. The portfolio shall be designed with the objective of obtaining a rate of return through budgetary and economic cycles, commensurate with the investment risk constraints and the cash flow requirements of NCTCOG.

Performance Benchmark

It is the policy of NCTCOG to purchase investments with maturity dates coinciding with cash flow needs. Through this strategy, NCTCOG shall seek to optimize interest earnings utilizing allowable investments available on the market at that time. Market value will be calculated on a quarterly basis on all securities owned and compared to current book value. NCTCOG's portfolio shall be designed with the objective of

North Central Texas Council of Governments – Investment Policy
October 14, 2020

regularly meeting or exceeding the average rate of return on U.S. Treasury Bills at a maturity level comparable to NCTCOG's weighted average maturity in days.

XI. REPORTING [PFIA 2256.023]

Methods

The Investment Officer shall prepare an investment report on a quarterly basis that summarizes investment strategies employed in the most recent quarter and describes the portfolio in terms of investment securities, maturities, and shall explain the total investment return for the quarter.

The quarterly investment report shall include a summary statement of investment activity prepared in compliance with generally accepted accounting principals. This summary will be prepared in a manner that will allow NCTCOG to ascertain whether investment activities during the reporting period have conformed to the Investment Policy. The report will be provided to the Board. The report will include the following:

- A listing of individual securities held at the end of the reporting period.
- Unrealized gains or losses resulting from appreciation or depreciation by listing the beginning and ending book and market value of securities for the period.
- Additions and changes to the market value during the period.
- Average weighted yield to maturity of portfolio as compared to applicable benchmark.
- Listing of investments by maturity date.
- Fully accrued interest for the reporting period
- The percentage of the total portfolio that each type of investment represents.
- Statement of compliance of NCTCOG's investment portfolio with state law and the investment strategy and policy approved by the Board.

An independent auditor will perform a formal annual review of the quarterly reports with the results reported to the governing body [PFIA 2256.023(d)].

Monitoring Market Value [PFIA 2256.005(b)(4)(D)]

Market value of all securities in the portfolio will be determined on a quarterly basis. These values will be obtained from a reputable and independent source and disclosed to the governing body quarterly in a written report.

XII. INVESTMENT POLICY ADOPTION [PFIA 2256.005(e)]

NCTCOG's investment policy shall be adopted by resolution of the Board. It is NCTCOG's intent to comply with state laws and regulations. NCTCOG's investment policy shall be subject to revisions consistent with changing laws, regulations, and needs of NCTCOG. The Board shall adopt a resolution stating that it has reviewed the policy and investment strategies at least annually, approving any changes or modifications.

Attachment

Authorized Brokers/Dealers

FTN Financial
Hilltop Securities
SAMCO Capital Markets, Inc.

Government Pools Authorized by Executive Board Resolution

(TEXPOOL) Texas Local Government Investment Pool
(TexSTAR) Texas Short Term Asset Reserve Program
(LOGIC) Local Government Investment Cooperative

Approved Providers of Public Funds Investment Act (PFIA) Training

University of North Texas
Government Finance Officers Association
Government Finance Officers Association of Texas
Government Treasurers Organization of Texas
Texas State University
Texas Municipal League

Exhibit: 2020-10-03-AA

RESOLUTION TO ACCEPT AND APPROVE THE QUARTERLY INVESTMENT REPORT

WHEREAS, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

WHEREAS, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

WHEREAS, the NCTCOG's Quarterly Investment Report for the quarter ended September 30, 2020 is hereby submitted in accordance with the Public Funds Investment Act; and,

WHEREAS, the Executive Board has reviewed the document and finds it to be in compliance with the Act.

NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:

Section 1. The North Central Texas Council of Governments Executive Board hereby accepts and approves the Quarterly Investment Report for the quarter ended September 30, 2020.

Section 2. This resolution shall be in effect immediately upon its adoption.

DocuSigned by:



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Ray Smith, President
North Central Texas Council of Governments
Mayor, Town of Prosper

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 22, 2020.

DocuSigned by:



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David Sweet
North Central Texas Council of Governments
County Judge, Rockwall County

Exhibit: 2020-10-04-AA

**RESOLUTION AUTHORIZING CONTRACT WITH PREMIER CONSULTING & INTEGRATION, LLC.
(PCI) FOR COSTPOINT SOFTWARE SUPPORT SERVICES**

WHEREAS, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

WHEREAS, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

WHEREAS, a Request for Proposals (RFP) #2020-108 for Costpoint Software Support Services was advertised and proposals received were opened on August 21, 2020; and,

WHEREAS, following evaluation, staff recommends award of a contract to Premier Consulting & Integration, LLC., for a maximum six (6) year term in an amount not to exceed \$300,000; and,

WHEREAS, NCTCOG has complied with Federal and State regulations regarding contract and procurement proceedings for this procurement # 2020-108.

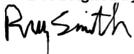
NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:

Section 1. A contract between NCTCOG and Premier Consulting & Integration, LLC., to provide Costpoint Software Support Services, for a maximum six (6) year term and in an amount not to exceed \$300,000, be and is hereby approved.

Section 2. The Executive Director or designee is authorized to execute agreements necessary to carry out the initiatives described herein, in the name of the North Central Texas Council of Governments.

Section 3. This resolution shall be in effect immediately upon its adoption.

DocuSigned by:



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Ray Smith, President
North Central Texas Council of Governments
Mayor, Town of Prosper

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 22, 2020.

DocuSigned by:



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David Sweet
North Central Texas Council of Governments
County Judge, Rockwall County

RESOLUTION AUTHORIZING FY2021 PURCHASE OF COMPUTER HARDWARE, SOFTWARE, AND INFORMATION TECHNOLOGY CONTRACT SERVICES FOR THE AGENCY

WHEREAS, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

WHEREAS, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

WHEREAS, NCTCOG staff annually reviews and determines anticipated computer hardware, software and information technology contract services needs for the upcoming year; and,

WHEREAS, the FY2021 purchase of Computer Hardware, Software and Information Technology Contract Services is contained in Attachment A and is recommended for Executive Board approval; and,

WHEREAS, the North Central Texas Council of Governments will comply with federal and state regulations regarding contracting and procurement.

NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:

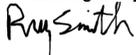
Section 1. The NCTCOG Executive Board authorizes the purchase of Computer Hardware, Software, and Information Technology Contract Services, consistent with Attachment A, in an amount not to exceed \$5,255,000.

Section 2. The Executive Director or designee is authorized to execute contractual agreements consistent with Attachment A, in the name of the North Central Texas Council of Governments.

Section 3. The Executive Director or designee is authorized to increase contract amounts for purchase categories in Attachment A, up to a maximum of twenty-five percent (25%), subject to the total authorized amount contained in Section 1.

Section 4. This resolution shall be in effect immediately upon its adoption.

DocuSigned by:



91F6348E1DC34E6

Ray Smith, President
North Central Texas Council of Governments
Mayor, Town of Prosper

I hereby certify that the resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 22, 2020.

DocuSigned by:



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David Sweet, Secretary-Treasurer
North Central Texas Council of Governments
County Judge, Rockwall County

Attachment A

#	Category	Vendor(s)	Costs
New Board Authorizations			
1	Client Devices and Accessories: Includes the cost of all printers, computers, tablets, monitors, accessories, and associated warranties. These items are typically purchased off a cooperative purchasing contract.	CDWG, Dell, SHI, 2NDGEAR	\$ 730,000.00
2	Workforce Center Computers: The purchase or lease of computer equipment for the various Workforce Centers across the region. Includes computers, monitors, accessories, and other client equipment required to complete their job. These are typically purchased off a cooperative purchasing agreement.	Dell, SHI, 2NDGEAR	\$ 500,000.00
3	Client Productivity Software and Software as a Service: Includes the cost of client productivity and collaboration software or software as a service. This includes Adobe, Smartsheet, Zoom, and other productivity software. These items are typically purchased off a cooperative purchasing contract.	Carahsoft, CDWG, Insight, SHI	\$ 215,000.00
4	Network, Internet, and Voice Equipment and Services: Includes the cost of equipment for the network routers, switches, firewalls, access points, or enterprise voice and data network equipment and associated warranties and software maintenance. These items are typically purchased off a cooperative purchasing contract.	CDWG, Flair Data Systems	\$ 200,000.00
5	Data Center and Infrastructure Equipment: Includes the cost of replacing, upgrading, or purchasing new data center server, storage, and data protection equipment, including any warranties or software maintenance contracts. These items are typically purchased off a cooperative purchasing contract.	CDWG, FreeIT, SHI, UDI	\$ 350,000.00
6	Cabling and Wiring Services: Includes the cost of cabling services, installing patch panels and equipment racks, and testing existing cabling and wiring. This will be purchased off a cooperative purchasing contract.	NCS	\$ 150,000.00
7	Internet, Telephone, and Wide Area Network Services: Includes the cost for Internet and telephone connectivity for the Agency and the cost of the wide area network connectivity required for the Workforce Department. This will be purchased off a state contract.	AT&T, CenturyLink, Spectrum, TxDIR	\$ 375,000.00
8	Financial System Software Maintenance: Includes the maintenance of Deltek financial software. This is a sole source purchase.	Deltek	\$ 175,000.00

9	Onboard, Recruiting, and Performance Management Software: Includes the annual subscription for the SilkRoad Technology software for personnel and performance management. The software is purchased directly.	SilkRoad Technology	\$ 100,000.00
10	Microsoft CRM Hosting: Includes the hosting of our CRM environment based on identified business needs of the departments in the Agency.	SHI	\$ 60,000.00
11	Laserfiche Software and Support: Includes the cost of Laserfiche software and maintenance, and the cost of support services for the Laserfiche software and related technologies. This will be purchased off a cooperative purchasing contract.	Docunav	\$ 230,000.00
12	Website Licensing, Migrations and Support: Includes the cost of licensing and related services for the main NCTCOG website and other websites on the Kentico platform. This will be purchased off a cooperative purchasing contract.	SHI, SoftwareOne	\$ 190,000.00
13	Security Software, Services, and Hardware: Includes funds for the maintenance, support, and associated services for security hardware and software. Typically purchased off a cooperative purchasing agreement.	Carahsoft, Future Com	\$ 155,000.00
14	Microsoft Software Support Services: Includes the cost of the Microsoft annual support contract, and consulting services on Microsoft technologies such as System Center Configuration Manager, SQL Database software, Office 365, and Windows Server. Typically purchased off a cooperative purchasing agreement.	Microsoft, Planet Technologies	\$ 100,000.00
15	Microsoft Software, Licenses, and Cloud Services: Costs for software licenses and cloud services purchased off the Microsoft Enterprise Agreement or similar contract. The amount is the anticipated total for 3 years. This will be purchased off a cooperative purchasing agreement.	SHI, SoftwareOne	\$ 1,725,000.00

Exhibit: 2020-10-06-ED

RESOLUTION ENDORSING GRANT APPLICATION SUBMITTAL TO THE TEXAS WATER DEVELOPMENT BOARD FOR THE INTEGRATED WATERSHED BASED PLANNING FOR REGIONAL TRANSPORTATION AND STORMWATER MANAGEMENT IN THE UPPER TRINITY RIVER BASIN

WHEREAS, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

WHEREAS, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

WHEREAS, NCTCOG has been designated as the Metropolitan Planning Organization (MPO) for the Dallas-Fort Worth Metropolitan Area by the Governor of the State of Texas in accordance with federal law; and,

WHEREAS, NCTCOG has been active for the last 50 years in promoting sound floodplain and watershed management; and,

WHEREAS, NCTCOG's Strategic Plan directs staff to identify and implement regional measures for a reduction of flooding through proper management of watersheds and stream corridors, and strategic conservation of open spaces within existing watersheds which will provide a network for ecological benefits, recreational opportunities, and the protection of existing water supply sources; and,

WHEREAS, the Integrated Transportation and Stormwater Management Project seeks to proactively engage communities in comprehensive planning efforts that integrate transportation, environmental, and stormwater needs in order to address the health, safety, and welfare concerns of the region while helping local governments manage their growth and development in a cost effective manner; and,

WHEREAS, the Texas Water Development Board issued a request for abridged applications to the Flood infrastructure Fund (FIF); and,

WHEREAS, the NCTCOG is eligible to apply for assistance to the FIF through Category 1 – Flood Protection Planning for Watersheds; and,

WHEREAS, NCTCOG submitted an abridged application to the FIF on June 15, 2020, and was invited to submit a complete application for FIF funds by October 19, 2020; and,

WHEREAS, the TWDB FIF application requires a resolution authorizing submittal of an application for financial assistance and designation of an authorized representative(s); and,

WHEREAS, NCTCOG completed the required Category 1 Notice Requirements prior to submittal of the grant application to the TWDB on October 19, 2020.

NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:

- Section 1.** The Executive Board endorses NCTCOG’s submittal of the grant application to the TWDB grant opportunity, “Flood Infrastructure Fund Category 1 – Flood Protection Planning for Watersheds.”
- Section 2.** The Executive Board authorizes receipt of approximately \$1,500,000 from the TWDB. Required match funds in the amount of \$1,500,000 will be provided by the Regional Transportation Council.
- Section 3.** If awarded, these funds shall be incorporated into the appropriate fiscal year budget.
- Section 4.** The Executive Director or designee is the authorized representative for purposes of furnishing such information and executing such documents as may be required in connection with the preparation and filing of such application for financial assistance and the rules of the Texas Water Development Board in the name of the North Central Texas Council of Governments.
- Section 5.** This resolution shall be in effect immediately upon its adoption.

DocuSigned by:



91F6348F1DC34E6...

Ray Smith, President
North Central Texas Council of Governments
Mayor, Town of Prosper

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 22, 2020.

DocuSigned by:



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David Sweet, Secretary-Treasurer
North Central Texas Council of Governments
County Judge, Rockwall County

Exhibit: 2020-10-07-TR

RESOLUTION AUTHORIZING THE RECEIPT OF FISCAL YEAR 2020 FEDERAL TRANSIT ADMINISTRATION FORMULA FUNDS

WHEREAS, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

WHEREAS, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

WHEREAS, NCTCOG has been designated as the Metropolitan Planning Organization (MPO) for the Dallas-Fort Worth Metropolitan Area by the Governor of the State of Texas in accordance with federal law; and,

WHEREAS, the Regional Transportation Council (RTC), comprised primarily of local elected officials, is the regional transportation policy body associated with NCTCOG, and has been and continues to be a forum for cooperative decisions on transportation; and,

WHEREAS, NCTCOG is a Designated Recipient for Federal Transit Administration (FTA) Urbanized Area Formula Program, Enhanced Mobility of Seniors and Individuals with Disabilities Program, and Bus and Bus Facilities Program funds in the Dallas-Fort Worth-Arlington and Denton-Lewisville Urbanized Areas, as delegated by the Governor of the State of Texas; and,

WHEREAS, Subtask 3.05 of the Fiscal Year (FY) 2020 and FY 2021 Unified Planning Work Program provides for NCTCOG to serve as the federal grantee for local public transit providers operating service in the Dallas-Fort Worth-Arlington and Denton-Lewisville Urbanized Areas, with responsibilities of administration, grant management and compliance for FTA formula programs; and,

WHEREAS, Subtask 3.05 of the FY 2020 and FY 2021 Unified Planning Work Program supports the sustainability of transit services and solutions that move the region toward more comprehensive, coordinated, accessible, efficient, and effective public transit services; and,

WHEREAS, on October 8, 2020, the RTC approved for inclusion into the FY 2021-FY 2024 Transportation Improvement Program/Statewide Transportation Improvement Program the FY 2020 FTA formula program funds to local public transit providers operating service in the Dallas-Fort Worth-Arlington and Denton-Lewisville Urbanized Areas for implementation of transit projects through coordination with NCTCOG, as the federal grantee; and,

WHEREAS, local public transit providers receiving funding will provide a local match utilizing local funds, State funds, or Transportation Development Credits.

NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:

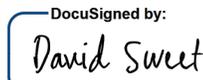
Section 1. The North Central Texas Council of Governments is authorized to submit grant applications to receive approximately \$3,238,188 in FY 2020 Urbanized Area Formula Program funds and administer the program consistent with State and federal regulations.

- Section 2.** The North Central Texas Council of Governments is authorized to submit grant applications to receive approximately \$955,335 in FY 2020 Enhanced Mobility of Seniors and Individuals with Disabilities Program funds and administer the program consistent with State and federal regulations.
- Section 3.** The North Central Texas Council of Governments is authorized to submit grant applications to receive approximately \$677,018 in FY 2020 Bus and Bus Facilities Program funds and administer the program consistent with State and federal regulations.
- Section 4.** These funds and projects will be incorporated into the applicable fiscal year budgets and Unified Planning Work Program (UPWP) as appropriate.
- Section 5.** Agreements or Amendments between NCTCOG and subrecipients in the amount of federal funds, outlined in Attachment 1, be and are hereby approved.
- Section 6.** The Executive Director or designee is authorized to execute necessary agreements in the name of the North Central Texas Council of Governments to carry out the initiatives described herein.
- Section 7.** This motion shall be in effect immediately upon adoption.

DocuSigned by:

91F6348F1DC34F6
Ray Smith, President
North Central Texas Council of Governments
Mayor, Town of Prosper

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 22, 2020.

DocuSigned by:

8DE31A7D39B44CC...
David Sweet, Secretary-Treasurer
North Central Texas Council of Governments
County Judge, Rockwall County

FISCAL YEAR 2019 FEDERAL TRANSIT ADMINISTRATION FORMULA FUNDING

2020-10-07-TR
Attachment 1, Page 1

Urbanized Area Formula Program (Section 5307)

Dallas-Fort Worth-Arlington Urbanized Area					
Designated Recipient	Federal Funds ¹	TDCs ²	Local Funds	State Funds	
North Central Texas Council of Governments	\$ 925,022	185,006	\$ -	\$ -	
Subrecipient	Federal Funds	TDCs	Local Funds	State Funds	
Public Transit Services	\$ 159,692	16,894	\$ 75,224	\$ -	
Span, Inc.	\$ 450,374	41,712	\$ 241,821	\$ -	
STAR Transit	\$ 1,703,100	111,800	\$ 1,144,100	\$ -	
TOTAL	\$ 3,238,188	355,412	\$ 1,461,145	\$	

¹ Federal funds include both capital and operating projects, which have different match requirements

² Transportation Development Credits (TDCs) for "Strategic Awards to Small Transit Providers" are credits (not dollars) used to leverage federal funds in lieu of local cash match. The result is the capital portion of the project will be 100% federally funded

Enhanced Mobility of Seniors and Individuals with Disabilities Program (Section 5310)

Designated Recipient	Federal Funds	TDCs	Local Funds
North Central Texas Council of Governments ¹ : Dallas-Fort Worth-Arlington Urbanized Area	\$ 381,093	-	\$ -
North Central Texas Council of Governments ¹ : Denton-Lewisville Urbanized Area	\$ 24,170	-	\$ -
Subtotal	\$ 405,263	-	\$ -
Dallas-Fort Worth-Arlington Urbanized Area			
Subrecipient	Federal Funds	TDCs	Local Funds
Span, Inc.	\$ 65,000	13,000	\$ -
STAR Transit	\$ 485,072	-	\$ 121,268
TOTAL	\$ 955,335	13,000	\$ 121,268

¹ Funding to be used for administration of Section 5310 program, which does not have a match requirement per FTA Circular 9070.1G

FISCAL YEAR 2019 FEDERAL TRANSIT ADMINISTRATION FORMULA FUNDING

2020-10-07-TR
Attachment 1, Page 2

Bus and Bus Facilities Program (Section 5339)

Dallas-Fort Worth-Arlington Urbanized Area			
Designated Recipient	Federal Funds ¹	TDCs ²	Local Funds
North Central Texas Council of Governments: Administrative	\$ 76,663	15,333	\$ -
North Central Texas Council of Governments	\$ 600,355	120,071	\$ -
TOTAL	\$ 677,018	135,404	\$ -

¹ Funding to be used towards the purchase of vehicles on behalf of NCTCOG subrecipients

² Bus and Bus Facilities funds (Section 5339) require a 20% match for administration but only a 15% match for the purchase of ADA-compliant vehicles

Grand Total

Program	Federal Funds	TDCs	Local Funds	State Funds
Urbanized Area Formula Program (Section 5307)	\$ 3,238,188	355,412	\$ 1,461,145	\$ -
Enhanced Mobility of Seniors and Individuals with Disabilities Program (Section 5310)	\$ 955,335	13,000	\$ 121,268	\$ -
Bus and Bus Facilities Program (Section 5339)	\$ 677,018	135,404	\$ -	\$ -
TOTAL	\$ 4,870,541	503,816	\$ 1,582,413	\$ -

Exhibit: 2020-10-08-TR

RESOLUTION AUTHORIZING AN AGREEMENT WITH SPAN, INC. FOR THE EXPANSION OF TRANSIT SERVICE

WHEREAS, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

WHEREAS, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

WHEREAS, NCTCOG has been designated as the Metropolitan Planning Organization (MPO) for the Dallas-Fort Worth Metropolitan Area by the Governor of the State of Texas in accordance with federal law; and,

WHEREAS, the Regional Transportation Council (RTC), comprised primarily of local elected officials, is the regional transportation policy body associated with NCTCOG, and has been and continues to be a forum for cooperative decisions on transportation; and,

WHEREAS, the Dallas-Fort Worth region is in nonattainment of the federal air quality standard for ozone and NCTCOG is actively involved in the development and implementation of the State Implementation Plan for air quality; and,

WHEREAS, NCTCOG is a Designated Recipient for Federal Transit Administration (FTA) Enhanced Mobility of Seniors and Individuals with Disabilities Program funds in the Dallas-Fort Worth-Arlington and Denton-Lewisville Urbanized Areas, as delegated by the Governor of the State of Texas; and,

WHEREAS, Subtask 3.05 of the Fiscal Year (FY) 2020 and FY 2021 Unified Planning Work Program provides for NCTCOG to serve as the federal grantee for local public transit providers operating service in the Dallas-Fort Worth-Arlington and Denton-Lewisville Urbanized Areas, with responsibilities of administration, grant management and compliance for FTA formula programs; and,

WHEREAS, Subtask 3.05 of the FY 2020 and FY 2021 Unified Planning Work Program supports the sustainability of transit services and solutions that move the region toward more comprehensive, coordinated, accessible, efficient, and effective public transit services; and,

WHEREAS, on October 8, 2020, the RTC approved for inclusion into the FY 2021-FY 2024 Transportation Improvement Program/Statewide Transportation Improvement Program the FY 2017 FTA Enhanced Mobility of Seniors and Individuals with Disabilities program funds to local public transit providers operating service in the Dallas-Fort Worth-Arlington and Denton-Lewisville Urbanized Areas for implementation of transit projects through coordination with NCTCOG, as the federal grantee; and,

WHEREAS, Span, Inc. will utilize Transportation Development Credits in lieu of the local match component; and,

WHEREAS, the Interlocal Cooperation Act, Chapter 791 of the Texas Government Code, provides authority for NCTCOG to enter into an agreement with the SPAN, Inc. for the provision of governmental functions and services of mutual interest.

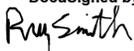
NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:

Section 1. The North Central Texas Council of Governments is authorized to execute an agreement with Span, Inc. for an amount not to exceed \$677,000 in FY 2017 Enhanced Mobility of Seniors and Individuals with Disabilities Program funds.

Section 2. The Executive Director or designee is authorized to execute necessary agreements in the name of the North Central Texas Council of Governments to carry out the initiatives described herein.

Section 3. This motion shall be in effect immediately upon adoption.

DocuSigned by:


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Ray Smith, President
North Central Texas Council of Governments
Mayor, Town of Prosper

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 22, 2020.

DocuSigned by:


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David Sweet, Secretary/Treasurer
North Central Texas Council of Governments
County Judge, Rockwall County

Exhibit: 2020-10-09-TR

RESOLUTION AUTHORIZING INTERLOCAL AGREEMENT WITH THE CITY OF NORTH RICHLAND HILLS FOR TRANSIT-ORIENTED DEVELOPMENT LANDBANKING AT SMITHFIELD TEXRAIL STATION

WHEREAS, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

WHEREAS, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

WHEREAS, NCTCOG has been designated as the Metropolitan Planning Organization (MPO) for the Dallas-Fort Worth Metropolitan Area by the Governor of the State of Texas in accordance with federal law; and,

WHEREAS, the Regional Transportation Council (RTC), comprised primarily of local elected officials, is the regional transportation policy body associated with NCTCOG, and has been and continues to be a forum for cooperative decisions on transportation; and,

WHEREAS, the City of North Richland Hills desires to create transit-oriented development surrounding the Smithfield TEXRail station; and,

WHEREAS, in December 2018, the RTC approved \$2,000,000 in Regional Toll Revenue funds (RTR) to the City of North Richland Hills for land acquisition to be held for transit-oriented development (i.e., landbanking) at the Smithfield TEXRail station with the City being responsible for \$500,000 in local match and any cost overruns; and

WHEREAS, the City will utilize the RTR funds for the acquisition, and when the City is ready to "unbank" the acquired land, through either a sale to the private sector or kept for public use, the City will pay the North Central Texas Council of Governments, as RTC's fiscal agent, the principal of \$2,000,000 plus RTC's pro-rata share (approximately 80 percent) of the increase in value of the land from the initial purchase; and,

WHEREAS, the Interlocal Cooperation Act, Texas Government Code Chapter 791, hereinafter "the Act," provides authorization for a local government to contract with one or more local governments to perform governmental functions and services under the terms of the Act.

NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:

Section 1. NCTCOG is authorized to enter into an Interlocal Agreement with the City of North Richland Hills for the Transit-Oriented Landbanking Project at the TEXRail Smithfield Station, consistent with the terms and conditions approved in the RTC action at its December 13, 2018, meeting.

Section 2. NCTCOG is authorized to receive approximately \$2,000,000, plus the RTC's pro-rata share of any increase in the value of the land acquired by the City of North Richland Hills for this initiative.

Section 3. These funds shall be incorporated into the appropriate fiscal year budgets and Unified Planning Work Program.

Section 4. The Executive Director or designee is authorized to execute necessary agreements in the name of the North Central Texas Council of Governments to carry out the initiatives described herein.

Section 5. This resolution shall be in effect immediately upon its adoption.

DocuSigned by:

Ray Smith

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Ray Smith, President
North Central Texas Council of Governments
Mayor, Town of Prosper

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 22, 2020.

DocuSigned by:

David Sweet

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David Sweet, Secretary/Treasurer
North Central Texas Council of Governments
County Judge, Rockwall County

Exhibit: 2020-10-10-TR

RESOLUTION AUTHORIZING INTERLOCAL AGREEMENT WITH THE CITY OF WEATHERFORD RELATED TO THE US180/DOWNTOWN WEATHERFORD BYPASS LOOP IMPROVEMENTS

WHEREAS, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

WHEREAS, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

WHEREAS, NCTCOG has been designated as the Metropolitan Planning Organization (MPO) for the Dallas-Fort Worth Metropolitan Area by the Governor of the State of Texas in accordance with federal law; and,

WHEREAS, the Regional Transportation Council (RTC), comprised primarily of local elected officials, is the regional transportation policy body associated with NCTCOG, and has been and continues to be a forum for cooperative decisions on transportation; and,

WHEREAS, NCTCOG and City of Weatherford staff have had ongoing discussions about funding for the northern segment of the Weatherford Downtown Bypass Loop, generally described as roadway improvements from the intersection of FM 2552 at US 180 along Spring Street/Bridge Street to the intersection of FM 51 and along Bridge Street/Waco Street to the intersection of US 180 (Project) in Weatherford to facilitate the removal of portions of US 180/FM 51/SH 171/FM 2552 and FM 1884 from the state highway system and to test an innovative funding mechanism through the use of TIRZ No. 2 and other funds to advance off-system transportation improvements and promote re-development within a local jurisdiction; and,

WHEREAS, the City of Weatherford desires to obtain advance funding totaling \$4,119,400 to fund the City's original funding commitments to the project; and,

WHEREAS, on March 8, 2018, the RTC approved funding for the US 180/Weatherford Downtown Bypass Loop reconstruction (TIP Code 14026) totaling \$16,590,000 including the local match; and

WHEREAS, on December 11, 2018, through Ordinance No. 744-2018-59 the Weatherford City Council established the Tax Increment Reinvestment Zone Number Two, City of Weatherford, Texas (TIRZ No. 2) for the purposes of promoting development within the Zone; and,

WHEREAS, on April 9, 2020, the RTC approved funding to allocate an additional \$4,119,400 of Surface Transportation Block Grant (STBG) funds (matched with Transportation Development Credits (TDCs)) and the City of Weatherford in return would contribute a like amount to the RTC, plus interest, through the use of TIRZ No. 2 revenues under the terms and conditions of an Agreement; and,

WHEREAS, the Interlocal Cooperation Act, Texas Government Code Chapter 791, hereinafter "the Act," provides authorization for a local government to contract with one or more local governments to perform governmental functions and services under the terms of the Act.

NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:

Section 1. NCTCOG is authorized to enter into an Interlocal Agreement with the City of Weatherford related to the US180/Downtown Bypass Loop Improvements, consistent with the terms and conditions approved in the RTC action at its April 9, 2020, meeting.

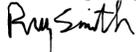
Section 2. NCTCOG is authorized to receive approximately \$4,119,400, plus interest from the City of Weatherford.

Section 3. These funds shall be incorporated into the appropriate fiscal year budgets and Unified Planning Work Program.

Section 4. The Executive Director or designee is authorized to execute necessary agreements in the name of the North Central Texas Council of Governments to carry out the initiatives described herein.

Section 5. This resolution shall be in effect immediately upon its adoption.

DocuSigned by:



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Ray Smith, President
North Central Texas Council of Governments
Mayor, Town of Prosper

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 22, 2020.

DocuSigned by:



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David Sweet, Secretary/Treasurer
North Central Texas Council of Governments
County Judge, Rockwall County

Exhibit: 2020-10-11-TR

RESOLUTION AUTHORIZING A CONTRACT WITH KITTELSON & ASSOCIATES TO CONDUCT A FUTURE MOBILITY PLANNING EXERCISE FOR NORTH TEXAS

WHEREAS, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

WHEREAS, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

WHEREAS, NCTCOG has been designated as the Metropolitan Planning Organization (MPO) for the Dallas-Fort Worth Metropolitan Area by the Governor of the State of Texas in accordance with federal law; and,

WHEREAS, the Regional Transportation Council (RTC), comprised primarily of local elected officials, is the regional transportation policy body associated with NCTCOG, and has been and continues to be a forum for cooperative decisions on transportation; and,

WHEREAS, the Dallas-Fort Worth region is in nonattainment of the federal air quality standard for ozone, and NCTCOG is actively involved in the development and implementation of the State Implementation Plan for air quality; and,

WHEREAS, in October 2018, the Regional Transportation Council authorized the programming of Surface Transportation Block Grant Program funds to conduct a regional planning exercise to prepare the region for increasing vehicle and roadway automation; and,

WHEREAS, on December 19, 2019, the Executive Board authorized the receipt of Surface Transportation Block Grant Program funds, for planning and implementation programs, including activities to conduct a regional planning exercise to prepare the region for increasing vehicle and roadway automation; and,

WHEREAS, the FY2020 and FY2021 Unified Planning Work Program Subtask 5.11 includes future mobility planning activities that will plan for the arrival of automated vehicles, focusing on the implications that automated vehicles may have with regard to travel demand, land use, and congestion; and,

WHEREAS, NCTCOG initiated a Request for Proposals to hire a consultant to conduct a regional planning exercise to prepare the region for increasing vehicle and roadway automation; and,

WHEREAS, Kittelson & Associates has been recommended by the Consultant Selection Committee to conduct the regional planning exercise to prepare the region for increasing vehicle and roadway automation; and,

WHEREAS, NCTCOG has complied with federal and State regulations regarding contract and procurement proceedings.

NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:

Section 1. A contract between NCTCOG and Kittelson & Associates to conduct a regional planning exercise to prepare the region for increasing vehicle and roadway automation in an amount not to exceed \$1,100,000 be and is hereby approved.

Section 2. The Executive Director or designee of NCTCOG is authorized to execute a contract with Kittelson & Associates in the name of the North Central Texas Council of Governments.

Section 3. This resolution shall be in effect immediately upon its adoption.

DocuSigned by:

91F6348F1DC34E6...
Ray Smith, President
North Central Texas Council of Governments
Mayor, Town of Prosper

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 22, 2020.

DocuSigned by:

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David Sweet, Secretary/Treasurer
North Central Texas Council of Governments
County Judge, Rockwall County

RESOLUTION AUTHORIZING PURCHASE AND PLACEMENT OF EDUCATION CAMPAIGNS FOR TRANSPORTATION INITIATIVES: PHASE 1

WHEREAS, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

WHEREAS, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

WHEREAS, NCTCOG has been designated as the Metropolitan Planning Organization (MPO) for the Dallas-Fort Worth Metropolitan Area by the Governor of the State of Texas in accordance with federal law; and,

WHEREAS, the Regional Transportation Council (RTC), comprised primarily of local elected officials, is the regional transportation policy body associated with NCTCOG, and has been and continues to be a forum for cooperative decisions on transportation; and,

WHEREAS, the Dallas-Fort Worth region is in nonattainment of the federal air quality standard for ozone and NCTCOG is actively involved in the development and implementation of the State Implementation Plan for air quality; and,

WHEREAS, there has been significant regional interest to enhance coordination, communication, and awareness of various programs administered by NCTCOG; and,

WHEREAS, it has become beneficial for various NCTCOG Transportation Department program areas to administer and coordinate multimedia education campaigns in an effort to efficiently, effectively, and responsibly reach all audiences.

NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:

Section 1. NCTCOG is authorized to enter into agreements with various multimedia outlets for the purchase and placement of education campaigns initiated in Fiscal Year 2021 for Transportation Department initiatives in phase 1 in an amount up to \$215,000, of this amount approximately 7 percent are previously approved carryover funds.

Section 2. The Executive Director or designee is authorized to execute necessary agreements in the name of the North Central Texas Council of Governments to carry out the initiatives described herein.

Section 3. This resolution shall be in effect immediately upon its adoption.

DocuSigned by:



Ray Smith, President
North Central Texas Council of Governments
Mayor, Town of Prosper

DocuSigned by:



David Sweet, Secretary/Treasurer
North Central Texas Council of Governments
County Judge, Rockwall County

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 22, 2020.

FY2021 Purchase and Placement of Education Campaigns for Transportation Initiatives: Phase 1						
Program Name	Funding Source	Unspent Funding from FY20	New Funding Amount	Match Source*	New Match Amount	Total
High-Speed Transportation	RTR	N/A	\$50,000	N/A	N/A	\$ 50,000
Notifications of Opportunities for Public Input/Public Meetings	TPF	\$15,716	\$49,284	N/A	N/A	\$ 65,000
Flexible Category**	CMAQ/STBG	N/A	\$100,000	TDCs	20,000	\$ 100,000
Total		\$15,716	\$199,284			\$ 215,000

*TDCs in the amount of 20,000 may be used as match but are not included in the total dollar amount.

**Specific funding source, including match source, will be determined by the project(s) using the Flexible Category, and could also include RTR or RTC Local