

**RESOLUTION TO REVIEW AND APPROVE NCTCOG'S INVESTMENT POLICY**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, the Public Funds Investment Act requires public entities to develop written investment policies and strategies reviewed and approved annually by the governing body; and,

**WHEREAS**, the Public Funds Investment Act requires the governing body or designated investment committee of public entities to review and adopt annually a list of qualified brokers authorized to engage in investment transactions with the entity; and,

**WHEREAS**, the NCTCOG Investment Policy, which includes a listing of authorized brokers, has been presented to and reviewed by the Executive Board; and,


**WHEREAS**, the Executive Board finds the policy to provide for the safe and prudent investment of funds.

**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

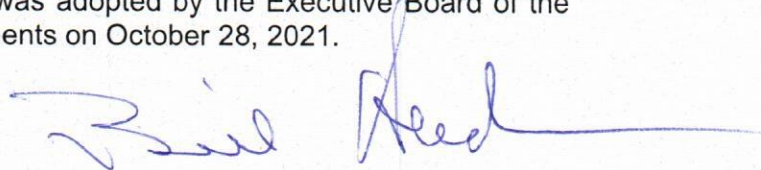
**Section 1.** The NCTCOG Executive Board approves the Investment Policy as included in Attachment 1.

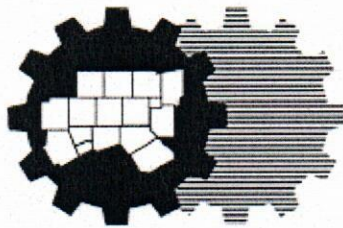
**Section 2.** NCTCOG staff is authorized to take such investment actions as the approved policy permits with authorized brokers.

**Section 3.** This resolution shall be in effect immediately upon its adoption.

  
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David Sweet, President  
North Central Texas Council of Governments  
County Judge, Rockwall

I hereby certify that this Resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 28, 2021.

  
\_\_\_\_\_  
Bill Heidemann, Secretary-Treasurer  
North Central Texas Council of Governments  
Mayor, City of Corinth



# **Investment Policy**

North Central Texas  
Council of Governments

October 20, 2021

# INVESTMENT POLICY

## I. POLICY

It is the policy of the North Central Council of Governments (NCTCOG) that after allowing for the anticipated cash flow requirements of NCTCOG and giving due consideration to the safety and risk of investment, all available funds shall be invested in conformance with these legal and administrative guidelines, seeking to optimize interest earnings to the maximum extent possible.

Effective cash management is recognized as essential to good fiscal management. Investment interest is a source of revenue to NCTCOG funds. The NCTCOG's investment portfolio shall be designed and managed in a manner designed to maximize this revenue source, to be responsive to public trust, and to be in compliance with legal requirements and limitations.

Investments shall be made with the primary objectives of:

- \* **Safety** and preservation of principal
- \* Maintenance of sufficient **liquidity** to meet operating needs
- \* **Public trust** from prudent investment activities
- \* Optimization of **interest earnings** on the portfolio

## II. PURPOSE

The purpose of this investment policy is to comply with applicable statutes, rules, regulations and Chapter 2256 of the Government Code ("Public Funds Investment Act"), which requires NCTCOG to adopt a written investment policy regarding the investment of its funds and funds under its control. The Investment Policy addresses the methods, procedures and practices that must be exercised to ensure effective and judicious fiscal management of the NCTCOG's funds.

## III. SCOPE

This Investment Policy shall govern the investment of all financial assets of NCTCOG. These funds are accounted for in the NCTCOG's Comprehensive Annual Financial Report (CAFR) and include:

- General Fund
- Special Revenue Funds <sup>(1)</sup>
- Internal Service Funds
- Enterprise Funds
- Any new fund created by NCTCOG, unless specifically exempted from this Policy by the Executive Board or by law.

<sup>(1)</sup> Includes funds of the North Central Texas Emergency Communications District

NCTCOG may consolidate cash balances from all funds to maximize investment earnings. Investment income will be allocated to the various funds based on their respective participation and in accordance with generally accepted accounting principles.

This Investment Policy shall apply to all transactions involving the financial assets and related activity for all the foregoing funds. However, this policy does not apply to the assets administered for the benefit of NCTCOG by outside agencies under deferred compensation programs.

The following investment strategies are the guidelines to investment of the funds of NCTCOG. All investments, when aggregated together, must be within the diversification parameters listed with the authorized investments. From time to time, on a temporary basis, the actual investments within one fund or another may vary slightly from its underlying strategy. However, these deviations should only be temporary and easily corrected.

- **Operating funds** include the general fund, internal service funds, and enterprise funds. These funds are necessary for the day to day operations of the NCTCOG's programs. Due to their short-term nature, these funds need to be available when needed. These funds will be invested in instruments authorized by this policy with a maturity not exceeding 180 days.
- **Advance funds** include any designated local, state, or federal advance funding within special revenue funds. These funds are necessary for the implementation of the NCTCOG's programs and tend to be short-term to mid-term in nature. These funds will be invested in instruments authorized by this policy with a maturity matched with programmatic needs not exceeding 365 days.
- **Designated funds** include programmed local funding within special revenue funds. These funds tend to be longer term in nature and not needed for immediate use. These funds will be invested in instruments authorized by this policy with a maturity matched with programmatic needs not exceeding two (2) years.

#### IV. INVESTMENT OBJECTIVES

NCTCOG shall manage and invest its cash with four primary objectives, listed in order of priority: **safety, liquidity, public trust, and yield, expressed as optimization of interest earnings.** The safety of the principal invested always remains the primary objective. All investments shall be designed and managed in a manner responsive to the public trust and consistent with state and local law.

NCTCOG shall maintain a comprehensive cash management program, which includes collection of account receivables, vendor payments in accordance with invoice terms, and prudent investment of available cash. Cash management is defined as the process of managing monies in order to insure maximum cash availability and maximum earnings on short-term investment of cash.

##### **Safety [PFIA 2256.005(b)(2)]**

Safety of principal is the foremost objective of the investment program. Investments shall be undertaken in a manner that seeks to ensure the preservation of capital in the overall portfolio. The objective will be to mitigate credit and interest rate risk.

- **Credit Risk and Concentration of Credit Risk** – NCTCOG will minimize credit risk, the risk of loss due to the failure of the issuer or backer of the investment, and concentration of credit risk, the risk of loss attributed to the magnitude of investment in a single issuer, by:
  - Limiting investments to the safest types of investments
  - Pre-qualifying the financial institutions and broker/dealers with which NCTCOG will do business
  - Diversifying the investment portfolio so that potential losses on individual issuers will be minimized.
- **Interest Rate Risk** – NCTCOG will manage the risk that the interest earnings and the market value of investments in the portfolio will fall due to changes in general interest rates by limiting the

maximum weighted average maturity of the investment portfolio to 365 days. NCTCOG will, in addition,:

- Structure the investment portfolio so that investments mature to meet cash requirements for ongoing operations, thereby avoiding the need to liquidate investments prior to maturity.
- Invest operating funds primarily in certificates of deposit, shorter-term securities, money market mutual funds, or local government investment pools functioning as money market mutual funds.
- Diversify maturities and staggering purchase dates to minimize the impact of market movements over time.

**Liquidity [PFIA 2256.005(b)(2)]**

The investment portfolio shall remain sufficiently liquid to meet all operating requirements that may be reasonably anticipated. This is accomplished by structuring the portfolio so that investments mature concurrent with cash needs to meet anticipated demands. Because all possible cash demands cannot be anticipated, a portion of the portfolio will be invested in shares of money market mutual funds or local government investment pools that offer same-day liquidity. In addition, a portion of the portfolio may consist of securities with active secondary or resale markets.

**Public Trust**

All participants in NCTCOG's investment process shall seek to act responsibly as custodians of the public trust. Investment officers shall avoid any transaction that might impair public confidence in NCTCOG's ability to govern effectively.

**Yield (Optimization of Interest Earnings) [PFIA 2256.005(b)(3)]**

The investment portfolio shall be designed with the objective of attaining a market rate of return throughout budgetary and economic cycles, taking into account the investment risk constraints and liquidity needs. Return on investment is of secondary importance compared to the safety and liquidity objectives described above.

**V. RESPONSIBILITY AND CONTROL**

**Delegation of Authority [PFIA 2256.005(f)]**

In accordance with applicable statutes, rules, regulations and the Public Funds Investment Act, the Board designates the Director of Administration as NCTCOG's Investment Officer. An Investment Officer or designee is authorized to execute investment transactions on behalf of NCTCOG. No person may engage in an investment transaction or the management of NCTCOG funds except as provided under the terms of this Investment Policy as approved by the Board. The investment authority granted to the investing officers is effective until rescinded by the Board.

**Quality and Capability of Investment Management [PFIA 2256.005(b)(3)]**

NCTCOG shall provide periodic training in investments for the designated investment officer(s) and other investment personnel through courses and seminars offered by professional organizations, associations, and other independent sources in order to insure the quality and capability of investment management in compliance with the Public Funds Investment Act.

**Training Requirement [PFIA 2256.008 – Local Governments]**

In accordance with applicable statutes, rules, regulations and the Public Funds Investment Act, designated Investment Officers shall attend an investment training session no less often than once every

two years commencing on the first day of NCTCOG's fiscal year and consisting of the two consecutive fiscal years following that date. and shall receive not less than 10 hours of instruction relating to investment responsibilities. A newly appointed Investment Officer must attend a training session of at least 10 hours of instruction within twelve months of the date the officer took office or assumed the officer's duties. The investment training session shall be provided by an independent source approved by the Board (see Attachment). For purposes of this policy, an "independent source" from which investment training shall be obtained shall include a professional organization, an institution of higher education or any other sponsor other than a business organization with whom NCTCOG may engage in an investment transaction.

### **Internal Controls**

The Director of Administration is responsible for establishing and maintaining an internal control structure designed to ensure that the assets of NCTCOG are protected from loss, theft, or misuse. The internal control structure shall be designed to provide reasonable assurance that these objectives are met. The concept of reasonable assurance recognizes that (1) the cost of a control should not exceed the benefits likely to be derived; and (2) the valuation of costs and benefits requires estimates and judgments by management.

Accordingly, the Director of Administration shall establish a process for annual independent review by an external auditor to assure compliance with policies and procedures. The internal controls shall address the following points.

- Control of collusion.
- Separation of transactions authority from accounting and record keeping.
- Custodial safekeeping.
- Avoidance of physical delivery securities.
- Clear delegation of authority to subordinate staff members.
- Written confirmation for all transactions for investments and wire transfers.
- Development of a wire transfer agreement with the depository bank or third party custodian.

### **Prudence [PFIA 2256.006]**

The standard of prudence to be applied by the Investment Officer shall be the "prudent investor" rule. This rule states that "Investments shall be made with judgment and care, under circumstances then prevailing, which persons of prudence, discretion and intelligence exercise in the management of their own affairs, not for speculation, but for investment, considering the probable safety of their capital as well as the probable income to be derived." In determining whether an Investment Officer has exercised prudence with respect to an investment decision, the determination shall be made taking into consideration:

- The investment of all funds, or funds under NCTCOG's control, over which the officer had responsibility rather than a consideration as to the prudence of a single investment.
- Whether the investment decision was consistent with the written approved investment policy of NCTCOG.

### **Indemnification**

The Investment Officer, acting in accordance with written procedures and exercising due diligence, shall not be held personally responsible for a specific investment's credit risk or market price changes, provided that these deviations are reported immediately and the appropriate action is taken to control adverse developments.

### **Ethics and Conflicts of Interest [PFIA 2256.005(i)]**

Officers and employees involved in the investment process shall refrain from personal business activity that would conflict with the proper execution and management of the investment program, or that would impair their ability to make impartial decisions. Employees and Investment Officers shall disclose any material interests in financial institutions with which they conduct business. They shall further disclose any personal financial/investment positions that could be related to the performance of the investment portfolio. Employees and officers shall refrain from undertaking personal investment transactions with the same individual with which business is conducted on behalf of the NCTCOG.

An Investment Officer of NCTCOG who has a personal business relationship with an organization seeking to sell an investment to NCTCOG shall file a statement disclosing that personal business interest. An Investment Officer who is related within the second degree by affinity or consanguinity to an individual seeking to sell an investment to NCTCOG shall file a statement disclosing that relationship. A statement required under this subsection must be filed with the Texas Ethics Commission and the Board.

## **VI. SUITABLE AND AUTHORIZED INVESTMENTS**

### **Portfolio Management**

NCTCOG currently has a "buy and hold" portfolio strategy. Maturity dates are matched with cash flow requirements and investments are purchased with the intent to be held until maturity. However, investments may be liquidated prior to maturity for the following reasons:

- An investment with declining credit may be liquidated early to minimize loss of principal.
- Cash flow needs of NCTCOG require that the investment be liquidated.
- Portfolio restructuring to accommodate NCTCOG needs and requirements or to address market conditions.

### **Investments [PFIA 2256.005(b)(4)(A)]**

NCTCOG funds governed by this policy may be invested in the instruments described below, all of which are authorized by Chapter 2256 of the Government Code (Public Funds Investment Act). Investment of NCTCOG funds in any instrument or security not authorized for investment under the Act is prohibited. NCTCOG will not be required to liquidate an investment that becomes unauthorized subsequent to its purchase.

#### **I. Authorized**

1. Obligations of the United States of America, its agencies and instrumentalities.
2. Certificates of Deposit issued by a depository institution that has its main office or a branch office in Texas. The certificate of deposit must be guaranteed or insured by the Federal Deposit Insurance Corporation or its successor or the National Credit Union Share Insurance Fund or its successor and secured by obligations in a manner and amount as provided by law. In addition, certificates of deposit obtained through a depository institution that has its main office or a branch office in Texas and that contractually agrees to place the funds in federally insured depository institutions in accordance with the conditions prescribed in Section 2256.010(b) of the Public Funds Investment Act are authorized investments.
3. Fully collateralized direct repurchase agreements with a defined termination date secured by obligations of the United States or its agencies and instrumentalities. These shall be pledged to NCTCOG, held in NCTCOG's name, and deposited at the time the investment is made with NCTCOG or with a third party selected and approved by NCTCOG. Repurchase agreements must be purchased through a primary government securities dealer, as defined by the Federal Reserve, or a financial institution doing business in Texas. A Master Repurchase Agreement must be signed by the bank/dealer prior to investment in a repurchase agreement. All

- repurchase agreement transactions will be on a delivery vs. payment basis. Securities received for repurchase agreements must have a market value greater than or equal to 102 percent at the time funds are disbursed. (Sweep Accounts and/or Bond Proceeds)
4. Money Market Mutual funds that: 1) are registered and regulated by the Securities and Exchange Commission, 2) have a dollar weighted average stated maturity of 90 days or less, 3) seek to maintain a net asset value of \$1.00 per share, and 4) are rated AAA by at least one nationally recognized rating service.
  5. Local government investment pools, which 1) meet the requirements of Chapter 2256.016 of the Public Funds Investment Act, 2) are rated no lower than AAA or an equivalent rating by at least one nationally recognized rating service, and 3) are authorized by resolution or ordinance by the Board. In addition, a local government investment pool created to function as a money market mutual fund must mark its portfolio to the market daily and, to the extent reasonably possible, stabilize at \$1.00 net asset value.

All prudent measures will be taken to liquidate an investment that is downgraded to less than the required minimum rating. (PFIA 2256.021)

**II. Not Authorized [PFIA 2256.009(b)(1-4)]**

Investments including interest-only or principal-only strips of obligations with underlying mortgage-backed security collateral, collateralized mortgage obligations with an inverse floating interest rate or a maturity date of over 10 years are strictly prohibited.

## **VII. INVESTMENT PARAMETERS**

### **Maximum Maturities [PFIA 2256.005(b)(4)(B)]**

The longer the maturity of investments, the greater their price volatility. Therefore, it is NCTCOG's policy to concentrate its investment portfolio in shorter-term securities in order to limit principal risk caused by changes in interest rates.

NCTCOG attempts to match its investments with anticipated cash flow requirements. NCTCOG will not directly invest in securities maturing more than two (2) years from the date of purchase; however, the above described obligations, certificates, or agreements may be collateralized using longer dated investments.

Because no secondary market exists for repurchase agreements, the maximum maturity shall be 120 days except in the case of a flexible repurchase agreement for bond proceeds. The maximum maturity for such an investment shall be determined in accordance with project cash flow projections and the requirements of the governing bond ordinance.

The composite portfolio will have a weighted average maturity of 365 days or less. This dollar-weighted average maturity will be calculated using the stated final maturity dates of each security. [PFIA 2256.005(b)(4)(C)]

### **Diversification [PFIA 2256.005(b)(3)]**

NCTCOG recognizes that investment risks can result from issuer defaults, market price changes or various technical complications leading to temporary illiquidity. Risk is managed through portfolio diversification that shall be achieved by the following general guidelines:



- Limiting investments to avoid over concentration in investments from a specific issuer or business sector (excluding U.S. Treasury securities and certificates of deposit that are fully insured and collateralized in accordance with state and federal law),
- Limiting investment in investments that have higher credit risks (example: commercial paper),
- Investing in investments with varying maturities, and
- Continuously investing a portion of the portfolio in readily available funds such as local government investment pools (LGIPs), money market funds or overnight repurchase agreements to ensure that appropriate liquidity is maintained in order to meet ongoing obligations.

The following maximum limits, by instrument, are established for NCTCOG's portfolio:

1. U.S. Treasury Securities ..... 100%
2. U.S. Agencies and Instrumentalities ..... 85%
3. Certificates of Deposit ..... 100%
4. Repurchase Agreements <sup>(1)</sup> ..... 20%
5. Money Market Mutual Funds <sup>(2)</sup> ..... 50%
6. Authorized Pools ..... 100%

<sup>(1)</sup> Excluding flexible repurchase agreements for bond proceeds investments

<sup>(2)</sup> No single investment in any one money market mutual fund which exceeds 10% of the total assets of the money market mutual fund

## VIII. SELECTION OF BANKS AND DEALERS

### **Depository [Chapter 105.017, Local Government Code]**

At least every 5 years a Depository shall be selected through the NCTCOG's banking services procurement process, which shall include a formal request for proposal (RFP). The selection of a depository will be determined by competitive bid and evaluation of bids will be based on the following selection criteria:

- The ability to qualify as a depository for public funds in accordance with state law.
- The ability to provide requested information or financial statements for the periods specified.
- The ability to meet all requirements in the banking RFP.
- Complete response to all required items on the bid form
- Lowest net banking service cost, consistent with the ability to provide an appropriate level of service.
- The credit worthiness and financial stability of the bank.

### **Authorized Brokers/Dealers [PFIA 2256.025]**

NCTCOG shall, at least annually, review, revise, and adopt a list of qualified brokers/dealers and financial institutions authorized to engage in securities transactions with NCTCOG. A designated investment committee or Executive Board will at least annually review and adopt the list of qualified brokers/dealers (see Attachment). Those firms that request to become qualified bidders for securities transactions will be required to provide a completed broker/dealer questionnaire that provides information regarding creditworthiness, experience and reputation and 2) a certification stating the firm has received, read and understood NCTCOG's investment policy and agree to comply with the policy. Authorized firms may include primary dealers or regional dealers that qualify under Securities & Exchange Commission Rule 15C3-1 (Uniform Net Capital Rule), and qualified depositories. All investment providers, including financial institutions, banks, money market mutual funds, and local government investment pools, must sign a certification acknowledging that the organization has received and reviewed NCTCOG's

investment policy and that reasonable procedures and controls have been implemented to preclude investment transactions that are not authorized by NCTCOG's policy. [PFIA 2256.005(k-l)]

### **Competitive Bids**

It is the policy of NCTCOG to require competitive bidding for all individual security purchases and sales except for: a) transactions with money market mutual funds and local government investment pools and b) treasury and agency securities purchased at issue through an approved broker/dealer or financial institution.

### **Delivery vs. Payment [PFIA 2256.005(b)(4)(E)]**

Securities shall be purchased using the **delivery vs. payment** method with the exception of investment pools and mutual funds. Funds will be released after notification that the purchased security has been received.

## **IX. CUSTODIAL CREDIT RISK MANAGEMENT**

### **Safekeeping and Custodial Agreements**

NCTCOG shall contract with a bank or banks for the safekeeping of securities either owned by NCTCOG as part of its investment portfolio or held as collateral to secure demand or time deposits. Securities owned by NCTCOG shall be held in NCTCOG's name as evidenced by safekeeping receipts of the institution holding the securities.

Collateral for deposits will be held by a third party custodian designated by NCTCOG and pledged to NCTCOG as evidenced by safekeeping receipts of the institution with which the collateral is deposited. Original safekeeping receipts shall be obtained. Collateral may be held by the depository bank's trust department, a Federal Reserve bank or branch of a Federal Reserve bank, a Federal Home Loan Bank, or a third party bank approved by NCTCOG.

### **Collateral Policy [PFCA 2257.023]**

Consistent with the requirements of the Public Funds Collateral Act, it is the policy of NCTCOG to require full collateralization of all NCTCOG funds on deposit with a depository bank, other than investments. In order to anticipate market changes and provide a level of security for all funds, the collateralization level will be 105% of market value of principal and accrued interest on the deposits or investments less an amount insured by the FDIC. At its discretion, NCTCOG may require a higher level of collateralization for certain investment securities. Securities pledged as collateral shall be held by an independent third party with which NCTCOG has a current custodial agreement. The Deputy Executive Director is responsible for entering into collateralization agreements with third party custodians in compliance with this Policy. The agreements are to specify the acceptable investment securities for collateral, including provisions relating to possession of the collateral, the substitution or release of investment securities, ownership of securities, and the method of valuation of securities. A clearly marked evidence of ownership (safekeeping receipt) must be supplied to NCTCOG and retained. Collateral shall be reviewed at least monthly to assure that the market value of the pledged securities is adequate.

### **Collateral Defined**

NCTCOG shall accept only the following types of collateral:

- Obligations of the United States or its agencies and instrumentalities
- Direct obligations of the state of Texas or its agencies and instrumentalities

- Collateralized mortgage obligations directly issued by a federal agency or instrumentality of the United States, the underlying security for which is guaranteed by an agency or instrumentality of the United States
- Obligations of states, agencies, counties, cities, and other political subdivisions of any state rated as to investment quality by a nationally recognized rating firm not less than A or its equivalent with a remaining maturity of ten (10) years or less
- A surety bond issued by an insurance company rated as to investment quality by a nationally recognized rating firm not less than A
- A letter of credit issued to NCTCOG by the Federal Home Loan Bank

### **Subject to Audit**

All collateral shall be subject to inspection and audit by the NCTCOG or NCTCOG's independent auditors.

## **X. PERFORMANCE**

### **Performance Standards**

NCTCOG's investment portfolio will be managed in accordance with the parameters specified within this policy. The portfolio shall be designed with the objective of obtaining a rate of return through budgetary and economic cycles, commensurate with the investment risk constraints and the cash flow requirements of NCTCOG.

### **Performance Benchmark**

It is the policy of NCTCOG to purchase investments with maturity dates coinciding with cash flow needs. Through this strategy, NCTCOG shall seek to optimize interest earnings utilizing allowable investments available on the market at that time. Market value will be calculated on a quarterly basis on all securities owned and compared to current book value. NCTCOG's portfolio shall be designed with the objective of regularly meeting or exceeding the average rate of return on U.S. Treasury Bills at a maturity level comparable to NCTCOG's weighted average maturity in days.

## **XI. REPORTING [PFIA 2256.023]**

### **Methods**

The Investment Officer shall prepare an investment report on a quarterly basis that summarizes investment strategies employed in the most recent quarter and describes the portfolio in terms of investment securities, maturities, and shall explain the total investment return for the quarter.

The quarterly investment report shall include a summary statement of investment activity prepared in compliance with generally accepted accounting principals. This summary will be prepared in a manner that will allow NCTCOG to ascertain whether investment activities during the reporting period have conformed to the Investment Policy. The report will be provided to the Board. The report will include the following:

- A listing of individual securities held at the end of the reporting period.
- Unrealized gains or losses resulting from appreciation or depreciation by listing the beginning and ending book and market value of securities for the period.
- Additions and changes to the market value during the period.
- Average weighted yield to maturity of portfolio as compared to applicable benchmark.
- Listing of investments by maturity date.
- Fully accrued interest for the reporting period

- The percentage of the total portfolio that each type of investment represents.
- Statement of compliance of NCTCOG's investment portfolio with state law and the investment strategy and policy approved by the Board.

An independent auditor will perform a formal annual review of the quarterly reports with the results reported to the governing body [PFIA 2256.023(d)].

**Monitoring Market Value [PFIA 2256.005(b)(4)(D)]**

Market value of all securities in the portfolio will be determined on a quarterly basis. These values will be obtained from a reputable and independent source and disclosed to the governing body quarterly in a written report.

**XII. INVESTMENT POLICY ADOPTION [PFIA 2256.005(e)]**

NCTCOG's investment policy shall be adopted by resolution of the Board. It is NCTCOG's intent to comply with state laws and regulations. NCTCOG's investment policy shall be subject to revisions consistent with changing laws, regulations, and needs of NCTCOG. The Board shall adopt a resolution stating that it has reviewed the policy and investment strategies at least annually, approving any changes or modifications.

## **Attachment**

### **Authorized Brokers/Dealers**

FTN Financial  
Hilltop Securities  
SAMCO Capital Markets, Inc.

### **Government Pools Authorized by Executive Board Resolution**

(TEXPOOL) Texas Local Government Investment Pool  
(TexSTAR) Texas Short Term Asset Reserve Program  
(LOGIC) Local Government Investment Cooperative

### **Approved Providers of Public Funds Investment Act (PFIA) Training**

University of North Texas  
Government Finance Officers Association  
Government Finance Officers Association of Texas  
Government Treasurers Organization of Texas  
Texas State University  
Texas Municipal League

**RESOLUTION TO ACCEPT AND APPROVE THE QUARTERLY INVESTMENT REPORT**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, the NCTCOG's Quarterly Investment Report for the quarter ended September 30, 2021, is hereby submitted in accordance with the Public Funds Investment Act; and,

**WHEREAS**, the Executive Board has reviewed the document and finds it to be in compliance with the Act.

**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

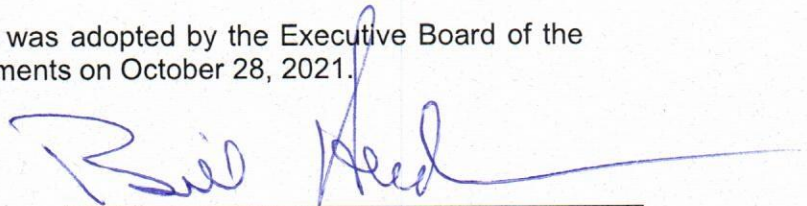
**Section 1.** The NCTCOG Executive Board accepts and approves the Quarterly Investment Report for the quarter ended September 30, 2021.

**Section 2.** This resolution shall be in effect immediately upon its adoption.



David Sweet, President  
North Central Texas Council of Governments  
County Judge, Rockwall

I hereby certify that this Resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 28, 2021.



Bill Heidemann, Secretary-Treasurer  
North Central Texas Council of Governments  
Mayor, City of Corinth

**NCTCOG Quarterly Investment Report**  
**Fiscal Year 2021 Quarter 4**  
**Ended 9-30-2021**

**Investment Portfolio Detail  
by Fund**

Description	Account #	Program	Beginning Balance	Deposits	Withdrawals	Interest	Ending Balance	% of Total
TexPool	2200400001	General Fund	\$ 4,588,619.82	\$ 2,654,875.88	\$ (2,627,915.37)	\$ 317.17	\$ 4,615,897.50	7.38%
Logic	6049012001	General Fund	470.10	-	-	-	470.10	0.00%
TexStar	2200411110	General Fund	6,004,195.48	-	(1,432,652.28)	151.24	4,571,694.44	7.31%
<b>General Fund</b>			<b>\$ 10,593,285.40</b>	<b>\$ 2,654,875.88</b>	<b>\$ (4,060,567.65)</b>	<b>\$ 468.41</b>	<b>\$ 9,188,062.04</b>	<b>14.69%</b>
TexPool	2200400002	Solid Waste	\$ 593,287.66	\$ -	\$ (454,875.88)	\$ 27.60	\$ 138,439.38	0.22%
TexPool	2200400003	Supplemental Environmental Projects	258,672.06	15,813.91	-	15.56	274,501.53	0.44%
TexPool	2200400005	9-1-1 Operating <sup>(1)</sup>	2,150,163.18	-	-	124.24	2,150,287.42	3.44%
TexPool	2200400007	Transportation Revenue Center 5 Funds	14,701,052.52	112,101.46	-	852.82	14,814,006.80	23.69%
TexStar	2200411111	Transportation	1,536,576.97	999,043.07	-	38.91	2,535,658.95	4.05%
TexStar	2200421009	Exchange Funds Principal Pooled	27,125,583.54	433,609.21	(683.84)	683.84	27,559,192.75	44.06%
TexStar	2200431009	Exchange Funds Earnings Pooled	5,883,446.66	683.84	-	148.25	5,884,278.75	9.41%
<b>Special Revenue Fund</b>			<b>\$ 52,248,782.59</b>	<b>\$ 1,561,251.49</b>	<b>\$ (455,559.72)</b>	<b>\$ 1,891.22</b>	<b>\$ 53,356,365.58</b>	<b>85.31%</b>
<b>Total</b>			<b>\$ 62,842,067.99</b>	<b>\$ 4,216,127.37</b>	<b>\$ (4,516,127.37)</b>	<b>\$ 2,359.63</b>	<b>\$ 62,544,427.62</b>	<b>100.00%</b>

**Investment Portfolio Detail  
by Investment Type**

Description	Account #	Program	Beginning Balance	Deposits	Withdrawals	Interest	Ending Balance	% of Total
TexPool	2200400001	General Fund	\$ 4,588,619.82	\$ 2,654,875.88	\$ (2,627,915.37)	\$ 317.17	\$ 4,615,897.50	7.38%
TexPool	2200400002	Solid Waste	593,287.66	-	(454,875.88)	27.60	138,439.38	0.22%
TexPool	2200400003	Supplemental Environmental Projects	258,672.06	15,813.91	-	15.56	274,501.53	0.44%
TexPool	2200400005	9-1-1 Operating <sup>(1)</sup>	2,150,163.18	-	-	124.24	2,150,287.42	3.44%
TexPool	2200400007	Transportation Revenue Center 5 Funds	14,701,052.52	112,101.46	-	852.82	14,814,006.80	23.69%
<b>TexPool</b>			<b>\$ 22,291,795.24</b>	<b>\$ 2,782,791.25</b>	<b>\$ (3,082,791.25)</b>	<b>\$ 1,337.39</b>	<b>\$ 21,993,132.63</b>	<b>35.16%</b>
Logic	6049012001	General Fund	\$ 470.10	\$ -	\$ -	\$ -	\$ 470.10	0.00%
TexStar	2200411110	General Fund	\$ 6,004,195.48	\$ -	\$ (1,432,652.28)	\$ 151.24	\$ 4,571,694.44	7.31%
TexStar	2200411111	Transportation	1,536,576.97	999,043.07	-	38.91	2,535,658.95	4.05%
TexStar	2200421009	Exchange Funds Principal Pooled	27,125,583.54	433,609.21	(683.84)	683.84	27,559,192.75	44.06%
TexStar	2200431009	Exchange Funds Earnings Pooled	5,883,446.66	683.84	-	148.25	5,884,278.75	9.41%
<b>TexStar</b>			<b>\$ 40,549,802.65</b>	<b>\$ 1,433,336.12</b>	<b>\$ (1,433,336.12)</b>	<b>\$ 1,022.24</b>	<b>\$ 40,550,824.89</b>	<b>64.84%</b>
<b>Total</b>			<b>\$ 62,842,067.99</b>	<b>\$ 4,216,127.37</b>	<b>\$ (4,516,127.37)</b>	<b>\$ 2,359.63</b>	<b>\$ 62,544,427.62</b>	<b>100.00%</b>

<sup>(1)</sup> Used for the North Central Texas Emergency Communications District funds

**NCTCOG Quarterly Investment Report**  
 Fiscal Year 2021 Quarter 4  
 Ended 9-30-2021

**Investment Portfolio Summary**

Description	Beginning		Deposits	Withdrawals	Interest	Ending Balance	% of Total
	Balance						
General Fund	\$ 10,593,285.40	\$ 2,654,875.88	\$ (4,060,567.65)	\$ 468.41	\$ 9,188,062.04	14.69%	
Special Revenue	52,248,782.59	1,561,251.49	(455,559.72)	1,891.22	53,356,365.58	85.31%	
<b>Total</b>	<b>\$ 62,842,067.99</b>	<b>\$ 4,216,127.37</b>	<b>\$ (4,516,127.37)</b>	<b>\$ 2,359.63</b>	<b>\$ 62,544,427.62</b>	<b>100.00%</b>	

**Fiscal Year 2021 to Date**

Pool Description	Beginning		Deposits	Withdrawals	Interest	Ending Balance	% of Total
	Balance						
TexPool	\$ 23,633,061.35	\$ 18,402,709.06	\$ (20,052,709.06)	\$ 10,071.28	\$ 21,993,132.63	35.16%	
Logic	469.94	-	-	0.16	470.10	0.00%	
TexStar	45,234,102.21	5,746,136.70	(10,446,136.70)	16,722.68	40,550,824.89	64.84%	
<b>Total</b>	<b>\$ 68,867,633.50</b>	<b>\$ 24,148,845.76</b>	<b>\$ (30,498,845.76)</b>	<b>\$ 26,794.12</b>	<b>\$ 62,544,427.62</b>	<b>100.00%</b>	

**Year to Date Interest**

Pool Description	Fiscal Year 2021			Fiscal Year 2020		
	General Fund	Special Revenue	Total	General Fund	Special Revenue	Total
	TexPool	\$ 1,747.46	\$ 8,323.82	\$ 10,071.28	\$ 64,548.23	\$ 220,910.99
Logic	0.16	-	0.16	5.32	-	5.32
TexStar	2,992.18	13,730.50	16,722.68	52,779.95	276,183.15	328,963.10
<b>Total</b>	<b>\$ 4,739.80</b>	<b>\$ 22,054.32</b>	<b>\$ 26,794.12</b>	<b>\$ 117,333.50</b>	<b>\$ 497,094.14</b>	<b>\$ 614,427.64</b>

**Interest Rate Averages**

Rate Description	September-2021		September-2020		FY 2021 thru September-2021		FY 2020 thru September-2020	
	September-2021	September-2020	FY 2021 Qtr 4	FY 2020 Qtr 4	FY 2021 thru September-2021	FY 2020 thru September-2020	FY 2021 thru September-2021	FY 2020 thru September-2020
90 Day T-Bill	0.0400%	0.1100%	0.0467%	0.1133%	0.0542%	0.7358%	0.0542%	0.7358%
TexPool	0.0279%	0.1474%	0.0230%	0.1775%	0.0495%	1.1825%	0.0495%	1.1825%
Logic	0.0364%	0.2565%	0.0424%	0.3307%	0.0976%	0.8742%	0.0976%	0.8742%
TexStar	0.0100%	0.1339%	0.0100%	0.1662%	0.0376%		0.0376%	

All funds are invested in investment pools whose book and market value are the same.

*Molly Rendon*

Director of Administration



**Regional Toll Revenue (RTR) Supplementary Report <sup>(1)</sup>**  
**Fiscal Year 2021 Quarter 4**  
**Thru 8-31-2021**

**Investment Balances Summary**

Fund Description	This Month						% of Total
	Beginning Balance	Deposits	Withdrawals <sup>(2)</sup>	Interest	Ending Balance		
SH121 ACCOUNT 1 NEAR NEIGHBOR, NEAR TIMEFRAME	\$ 322,728,088.58	\$ 14,002.97	\$ (2,038,478.55)	\$ 75,575.97	\$ 320,779,188.97	56.28%	
SH121 ACCOUNT 2, EXCESS REVENUE	242,636,660.00	995,723.43	(484,482.22)	56,560.44	243,204,461.65	42.67%	
SH161 ACCOUNT 1 NEAR NEIGHBOR, NEAR TIMEFRAME	1,313,308.68	-	-	2,822.49	1,316,131.17	0.23%	
SH161 ACCOUNT 2, EXCESS REVENUE	4,621,849.67	-	-	2,338.15	4,624,187.82	0.81%	
<b>Total</b>	<b>\$ 571,299,906.93</b>	<b>\$ 1,009,726.40</b>	<b>\$ (2,522,960.77)</b>	<b>\$ 137,297.05</b>	<b>\$ 569,923,969.61</b>	<b>100.00%</b>	

Fund Description	This Quarter						% of Total
	Beginning Balance	Deposits	Withdrawals	Interest	Ending Balance		
SH121 ACCOUNT 1 NEAR NEIGHBOR, NEAR TIMEFRAME	\$ 325,022,892.14	\$ 14,002.97	\$ (4,417,739.59)	\$ 160,033.45	\$ 320,779,188.97	56.28%	
SH121 ACCOUNT 2, EXCESS REVENUE	242,087,594.50	1,984,785.33	(986,941.34)	119,023.16	243,204,461.65	42.67%	
SH161 ACCOUNT 1 NEAR NEIGHBOR, NEAR TIMEFRAME	7,311,418.95	-	(6,000,000.00)	4,712.22	1,316,131.17	0.23%	
SH161 ACCOUNT 2, EXCESS REVENUE	10,619,105.00	-	(6,000,000.00)	5,082.82	4,624,187.82	0.81%	
<b>Total</b>	<b>\$ 585,041,010.59</b>	<b>\$ 1,998,788.30</b>	<b>\$ (17,404,680.93)</b>	<b>\$ 288,851.65</b>	<b>\$ 569,923,969.61</b>	<b>100.00%</b>	

Fund Description	Last Quarter						% of Total
	Beginning Balance	Deposits	Withdrawals	Interest	Ending Balance		
SH121 ACCOUNT 1 NEAR NEIGHBOR, NEAR TIMEFRAME	\$ 304,820,993.38	\$ 7,000,000.00	\$ 12,919,797.76	\$ 282,101.00	\$ 325,022,892.14	55.56%	
SH121 ACCOUNT 2, EXCESS REVENUE	248,102,035.44	2,655,720.91	(8,892,269.89)	222,108.04	242,087,594.50	41.38%	
SH161 ACCOUNT 1 NEAR NEIGHBOR, NEAR TIMEFRAME	28,485,079.08	-	(21,192,834.66)	19,174.53	7,311,418.95	1.25%	
SH161 ACCOUNT 2, EXCESS REVENUE	10,609,532.79	-	-	9,572.21	10,619,105.00	1.82%	
<b>Total</b>	<b>\$ 592,017,640.69</b>	<b>\$ 9,655,720.91</b>	<b>\$ (17,165,306.79)</b>	<b>\$ 532,955.78</b>	<b>\$ 585,041,010.59</b>	<b>100.00%</b>	

<sup>(1)</sup> All funds are invested by the Texas Treasury Safekeeping Trust Company; interest earned in the current month is credited in the following month

**Regional Toll Revenue (RTR) Supplementary Report <sup>(1)</sup>**  
**Fiscal Year 2021 Quarter 4**  
**Thru 8-31-2021**

**Investment Balances Summary**

**Fiscal Year 2021 to Date**

<b>Fund Description</b>	<b>Beginning Balance</b>	<b>Deposits</b>	<b>Withdrawals</b>	<b>Interest</b>	<b>Ending Balance</b>	<b>% of Total</b>
SH121 ACCOUNT 1 NEAR NEIGHBOR, NEAR TIMEFRAME	\$ 307,478,132.15	\$ 20,841,633.16	\$ (8,782,264.09)	\$ 1,241,687.75	\$ 320,779,188.97	56.28%
SH121 ACCOUNT 2, EXCESS REVENUE	275,160,465.27	9,012,939.13	(42,004,398.56)	1,035,455.81	243,204,461.65	42.67%
SH161 ACCOUNT 1 NEAR NEIGHBOR, NEAR TIMEFRAME	28,410,187.46	-	(27,192,834.66)	98,778.37	1,316,131.17	0.23%
SH161 ACCOUNT 2, EXCESS REVENUE	10,581,638.61	-	(6,000,000.00)	42,549.21	4,624,187.82	0.81%
<b>Total</b>	<b>\$ 621,630,423.49</b>	<b>\$ 29,854,572.29</b>	<b>\$ (83,979,497.31)</b>	<b>\$ 2,418,471.14</b>	<b>\$ 569,923,969.61</b>	<b>100.00%</b>

**Inception to Date**

<b>Fund Description</b>	<b>Beginning Balance</b>	<b>Deposits</b>	<b>Withdrawals</b>	<b>Interest</b>	<b>Ending Balance</b>	<b>% of Total</b>
SH121 ACCOUNT 1 NEAR NEIGHBOR, NEAR TIMEFRAME	\$ -	\$ 2,591,801,517.14	\$ (2,498,042,131.42)	\$ 227,019,803.25	\$ 320,779,188.97	56.28%
SH121 ACCOUNT 2, EXCESS REVENUE	-	1,084,666,334.00	(910,942,675.68)	69,480,803.33	243,204,461.65	42.67%
SH161 ACCOUNT 1 NEAR NEIGHBOR, NEAR TIMEFRAME	-	151,466,358.59	(155,227,572.23)	5,077,344.81	1,316,131.17	0.23%
SH161 ACCOUNT 2, EXCESS REVENUE	-	50,339,871.17	(47,389,886.19)	1,674,202.84	4,624,187.82	0.81%
<b>Total</b>	<b>\$ -</b>	<b>\$ 3,878,274,080.90</b>	<b>\$ (3,611,602,265.52)</b>	<b>\$ 303,252,154.23</b>	<b>\$ 569,923,969.61</b>	<b>100.00%</b>

**Interest Rate Averages**

<b>Rate Description</b>	<b>August-2021</b>	<b>August-2020</b>	<b>FY 2021 Qtr 4 thru August-2021</b>	<b>FY 2020 Qtr 4 thru August-2020</b>	<b>FY 2021 thru August-2021</b>	<b>FY 2020 thru August-2020</b>
90 Day T-Bill	0.0500%	0.1000%	0.0500%	0.1150%	0.0555%	0.7927%
TexPool	0.0222%	0.1768%	0.0206%	0.1925%	0.0515%	0.9749%
Logic	0.0389%	0.3154%	0.0454%	0.3679%	0.1032%	1.2667%
TexStar	0.0100%	0.1645%	0.0100%	0.1824%	0.0401%	0.9415%
State Comptroller	0.2207%	0.6913%	0.2477%	0.7523%	0.4048%	1.5356%

<sup>(1)</sup> All funds are invested by the Texas Treasury Safekeeping Trust Company; interest earned in the current month is credited in the following month

**RESOLUTION AUTHORIZING CONTRACTS FOR POLICE AND FIRST RESPONDER UNIFORMS,  
ACCESSORIES AND SERVICES**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, a Request for Proposals (RFP) #2021-073 for Police and First Responder Uniforms, Accessories and Services was advertised and proposals received on September 30, 2021; and,

**WHEREAS**, proposals were evaluated by a committee comprised of members of the City of Frisco and NCTCOG's Regional Police Academy; and,

**WHEREAS**, following evaluation, it is recommended that contracts be awarded to Galls, LLC., GT Distributors, Inc. and Impact Promotional Services, LLC d.b.a. Got You Covered for Police and First Responder Uniforms, Accessories and Services; and,

**WHEREAS**, the contract is intended to be utilized by NCTCOG, its member governments and other participating entities as part of NCTCOG's Cooperative Purchasing Program; and,


**WHEREAS**, NCTCOG has complied with federal and State regulations regarding contract and procurement proceedings for this Procurement #2021-073.

**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

**Section 1.** Contracts between NCTCOG and Galls, LLC., GT Distributors, Inc. and Impact Promotional Services, LLC d.b.a. Got You Covered for Police and First Responder Uniforms, Accessories and Services for maximum five (5) year terms, be and are hereby approved.

**Section 2.** The Executive Director or designee is authorized to execute contractual agreements necessary to carry out this program, including agreements with member governments and other entities wishing to participate in NCTCOG's Cooperative Purchasing Program, in the name of the North Central Texas Council of Governments.

**Section 3.** This resolution shall be in effect immediately upon its adoption.

  
\_\_\_\_\_  
David Sweet, President  
North Central Texas Council of Governments  
County Judge, Rockwall

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 28, 2021.

  
\_\_\_\_\_  
Bill Heidemann, Secretary-Treasurer  
North Central Texas Council of Governments  
Mayor, City of Corinth

**RESOLUTION AUTHORIZING CONTRACTS FOR COMPREHENSIVE PROFESSIONAL DEVELOPMENT SERVICES FOR CHILD CARE SERVICES**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

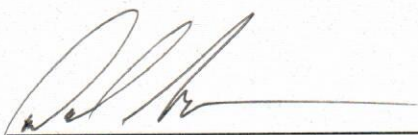
**WHEREAS**, NCTCOG serves as the Administrative Entity and designated Grant Recipient and Fiscal Agent of the North Central Texas Workforce Development Board (Workforce Board); and,

**WHEREAS**, NCTCOG issued a Request for Quotations soliciting responses for comprehensive professional development training services for early learning programs and is recommending contracts be awarded to Camp Fire First Texas, Educational First Steps, Josephine Jackson Hill, and Sharon Young for an initial three (3) year term, with two (2) optional one (1) year renewals; and,

**WHEREAS**, NCTCOG has complied with federal and state regulations regarding contract and procurement proceedings.

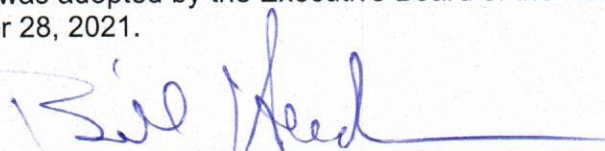
**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

- Section 1.** Contracts between NCTCOG and Camp Fire First Texas, Educational First Steps, Josephine Jackson Hill, and Sharon Young, for maximum five (5) year terms and in a cumulative amount not to exceed of \$1,000,000, be and are hereby approved.
- Section 2.** The Executive Director or designee is authorized to execute agreements necessary to carry out the initiatives described herein, in the name of the North Central Texas Council of Governments.
- Section 3.** This resolution shall be in effect immediately upon its adoption.



David Sweet, President  
North Central Texas Council of Governments  
County Judge, Rockwall

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 28, 2021.



Bill Heidemann, Secretary-Treasurer  
North Central Texas Council of Governments  
Mayor, City of Corinth

## RESOLUTION AUTHORIZING CONTRACTS FOR TEXAS RISING STAR ASSESSOR SERVICES

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, NCTCOG serves as the Administrative Entity and designated Grant Recipient and Fiscal Agent of the North Central Texas Workforce Development Board (Workforce Board); and,

**WHEREAS**, NCTCOG issued a Request for Qualifications soliciting responses for Texas Rising Star Assessor Services for early learning programs and is recommending contracts be awarded to Accompanied by God's Love, Inc., Best Practices Consulting, Carolyn Callahan, Chirell Enterprise, LLC, Comprehensive Learning Solutions, LLC, ElevatED Solutions, Jennifer Livas, Karrie Funston, Katherine Haule, Mary Erin Guzowsky, Precious Lambs Learning Center, Reliable Educational Services, Sharon Young, Sheila Matlock, Stephanie Higgins, Together We Grow, and Victory Training and Consulting for an initial one (1) year term, with three (3) optional one (1) year renewals; and,

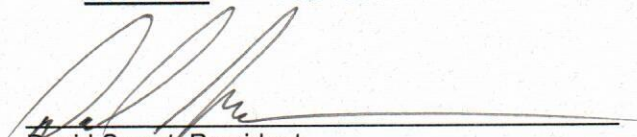
**WHEREAS**, NCTCOG has complied with federal and state regulations regarding contract and procurement proceedings.

### NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:

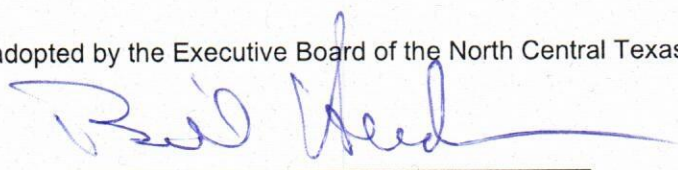
**Section 1.** Contracts between NCTCOG and Accompanied by God's Love, Inc., Best Practices Consulting, Carolyn Callahan, Chirell Enterprise, LLC, Comprehensive Learning Solutions, LLC, ElevatED Solutions, Jennifer Livas, Karrie Funston, Katherine Haule, Mary Erin Guzowsky, Precious Lambs Learning Center, Reliable Educational Services, Sharon Young, Sheila Matlock, Stephanie Higgins, Together We Grow, and Victory Training and Consulting, for maximum four (4) year terms and in a cumulative amount not to exceed \$400,000, be and are hereby approved.

**Section 2.** The Executive Director or designee is authorized to execute agreements necessary to carry out the initiatives described herein, in the name of the North Central Texas Council of Governments.

**Section 3.** This resolution shall be in effect immediately upon its adoption.

  
David Sweet, President  
North Central Texas Council of Governments  
County Judge, Rockwall

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 28, 2021.

  
Bill Heidemann, Secretary-Treasurer  
North Central Texas Council of Governments  
Mayor, City of Corinth

**RESOLUTION AUTHORIZING AN AMENDMENT TO THE WEATHERFORD WORKFORCE CENTER  
LEASE**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, the NCTCOG serves as the Administrative Entity and designated Grant Recipient/Fiscal Agent of the North Central Texas Workforce Development Board (Workforce Board); and,

**WHEREAS**, NCTCOG is in the process of transitioning its existing Weatherford Workforce Center to the Workforce College campus in a newly constructed building; however, staff recently learned the completion date has been pushed approximately six (6) weeks to mid-December 2021; and,

**WHEREAS**, NCTCOG is in the process of transitioning; and

**WHEREAS**, NCTCOG staff recommend a three (3) month extension of the lease for the current location through January 31, 2022, to provide sufficient time for completion and transition to the new space; and,

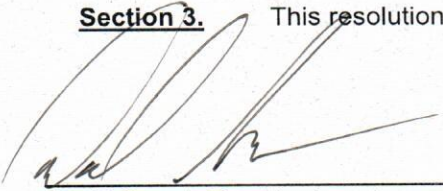
**WHEREAS**, the extension will be for a price per square foot totaling \$16.00 (net of utilities, janitorial, common area maintenance, internal suite maintenance, taxes, and insurance).

**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

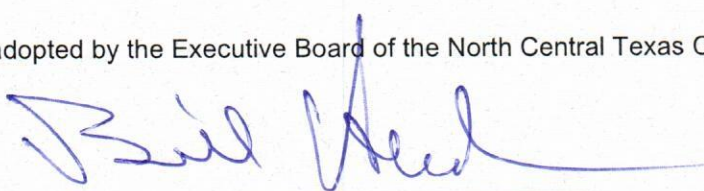
**Section 1.** A lease amendment between NCTCOG and CPSC I Limited Partnership, for the workforce center located at 126 College Park Drive in Weatherford, Texas, extending the term by three (3) months until January 31, 2022, at a price per square foot totaling \$16.00 (net of utilities, janitorial, common area maintenance, internal suite maintenance, taxes, and insurance), be and is hereby approved.

**Section 2.** The Executive Director or designee is authorized to execute agreements necessary to carry out this program, in the name of the North Central Texas Council of Governments.

**Section 3.** This resolution shall be in effect immediately upon its adoption.

  
\_\_\_\_\_  
David Sweet, President  
North Central Texas Council of Governments  
County Judge, Rockwall

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 28, 2021.

  
\_\_\_\_\_  
Bill Heidemann, Secretary-Treasurer  
North Central Texas Council of Governments  
Mayor, City of Corinth

**RESOLUTION AUTHORIZING THE PURCHASE OF NEW OFFICE FURNITURE FOR THE WEATHERFORD WORKFORCE CENTER**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, NCTCOG, in its capacity as the Administrative Entity and designated Grant Recipient/Fiscal Agent of the North Central Texas Workforce Development Board, currently has a lease agreement for 6,000 square feet of office space for the operation of a workforce center located at 126 College Park Drive in Weatherford, Texas; and,

**WHEREAS**, the NCTCOG Executive Board authorized a ten (10) year lease agreement for a new Weatherford Workforce Center located at 225 College Park Drive during its September 2019 meeting; and,

**WHEREAS**, NCTCOG staff budgeted for new furniture to be purchased and delivered to the new Weatherford facility once the construction was completed and the building was ready for occupancy, currently estimated to be mid-December 2021; and,

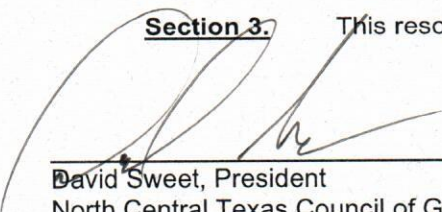
**WHEREAS**, if approved, the new furniture will be purchased from Business Interiors utilizing a cooperative purchasing contract in an amount not to exceed \$200,000 and includes such items as staff cubicles, customer workstations, tables, and chairs.

**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

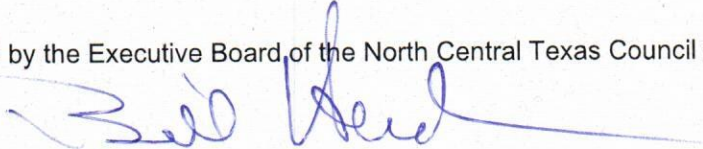
**Section 1.** The NCTCOG Executive Board authorizes the purchase of office furniture from Business Interiors for the new Weatherford Workforce Center located at 225 College Park Drive in an amount not to exceed \$200,000.

**Section 2.** The Executive Director or designee is authorized to execute a contract with Business Interiors in the name of the North Central Texas Council of Governments.

**Section 3.** This resolution shall be in effect immediately upon its adoption.

  
\_\_\_\_\_  
David Sweet, President  
North Central Texas Council of Governments  
County Judge, Rockwall

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 28, 2021.

  
\_\_\_\_\_  
Bill Heidemann, Secretary-Treasurer  
North Central Texas Council of Governments  
Mayor, City of Corinth

**RESOLUTION ENDORSING CHALLENGE GRANTS SUBMITTED TO THE ECONOMIC DEVELOPMENT ADMINISTRATION**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, NCTCOG has been designated as the Metropolitan Planning Organization (MPO) for the Dallas-Fort Worth Metropolitan Area by the Governor of the State of Texas in accordance with federal law; and,

**WHEREAS**, the Regional Transportation Council (RTC), comprised primarily of local elected officials, is the regional transportation policy body associated with NCTCOG, and has been and continues to be a forum for cooperative decisions on transportation; and,

**WHEREAS**, the Dallas-Fort Worth region is in nonattainment of the federal air quality standard for ozone and NCTCOG is actively involved in the development and implementation of the State Implementation Plan for air quality; and,

**WHEREAS**, as part of the American Rescue Plan Act (ARPA), authorized in March 2021 to support the nation's response and recovery from the impacts of the COVID-19 pandemic, the Economic Development Administration (EDA) of the United States Department of Commerce was allocated \$3 billion in supplemental funding to assist communities in their efforts to build back better by accelerating the economic recovery and building local economies that will be resilient to future economic shocks; and,

**WHEREAS**, in July 2021, the EDA announced it would make the funding appropriation available through the Build Back Better Regional Challenge (BBBRC), one of a series of six innovative challenges organized as discretionary grant opportunities, with approximately \$900 million specifically dedicated to regional growth cluster development identified by various coalitions, whether via planning, infrastructure, innovation and entrepreneurship, workforce development, or other strategic capital investments; and,

**WHEREAS**, BBBRC is designed as a two-phase competition, with Phase One concept proposals due for submittal to Grants.gov by October 19, 2021. EDA anticipates up to \$30 million will be awarded to fund 50-60 technical assistance grants for coalition finalists' aid in the development of more detailed applications for Phase Two, for which 20-30 of the submitted proposals would be awarded remaining BBBRC funds for implementation; and,

**WHEREAS**, the EDA Good Jobs Challenge Notice of Funding Opportunity indicates availability of approximately \$300 million dedicated to get Americans back to work by establishing or strengthening regional workforce training systems to train workers with in-demand skills through sectoral partnerships; and,



**WHEREAS**, NCTCOG proposes involvement with projects to be submitted for the following two ARPA funding opportunities through EDA: the Build Back Better Regional Challenge and the Good Jobs Challenge.

**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

**Section 1.** The Executive Board endorses NCTCOG's participation in the North Texas Information Technology (IT) Workforce Collaborative", a BBBRC proposal submitted and led by Southern Methodist University (SMU) with a project cluster called "Creating Equitable Opportunities in Automated Vehicle (AV) Freight Applications" led by NCTCOG being one of eight total project clusters to comprise SMU's application and authorizes submittal of a Phase II application if requested.

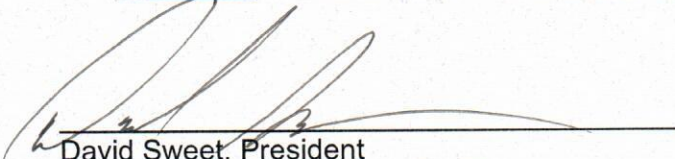
**Section 2.** The Executive Board endorses NCTCOG's support as a partner in the "Becoming a Global Hydrogen Hub", a BBBRC proposal to be submitted and led by Center for Houston's Future for a project to add a hydrogen fuel station to the Interstate Highway (IH) 45 corridor and authorizes submittal of a Phase II application if requested.

**Section 3.** The Executive Board endorses NCTCOG's support as a partner in the "Lead the Transition of Freight Transportation in the Texas Triangle Through Advanced Technologies and Innovative Policies on Equity and Sustainability", a BBBRC proposed to be submitted and led by the University of Texas at Arlington (UTA) with a project to advance freight-oriented automated surface and aerial transportation applications authorizes submittal of a Phase II application if requested.


**Section 4.** Any such funds awarded shall be incorporated into the applicable fiscal year budget and Unified Planning Work Program.

**Section 5.** The Executive Director or designee is authorized to execute necessary agreements in the name of the North Central Texas Council of Governments to carry out the initiatives described herein.

**Section 6.** This resolution shall be in effect immediately upon its adoption.

  
\_\_\_\_\_  
David Sweet, President  
North Central Texas Council of Governments  
Rockwall County Judge

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 28, 2021.

  
\_\_\_\_\_  
Bill Heidemann, Secretary/Treasurer  
North Central Texas Council of Governments  
Mayor, City of Corinth

**RESOLUTION AUTHORIZING A CONTRACT WITH KIMLEY-HORN AND ASSOCIATES, INC. FOR THE NORTH TEXAS FREIGHT VEHICLE INTERSECTION OPTIMIZATION PROJECT**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, NCTCOG has been designated as the Metropolitan Planning Organization (MPO) for the Dallas-Fort Worth Metropolitan Area by the Governor of the State of Texas in accordance with federal law; and,

**WHEREAS**, the Regional Transportation Council (RTC), comprised primarily of local elected officials, is the regional transportation policy body associated with NCTCOG, and has been and continues to be a forum for cooperative decisions on transportation; and,

**WHEREAS**, the RTC approved the DFW Freight Vehicle Intersection Optimization initiative in September 2020; and,

**WHEREAS**, the Fiscal Year (FY) 2022 and FY2023 Unified Planning Work Program Subtask 5.11 includes the DFW Freight Vehicle Intersection Optimization initiative; and,

**WHEREAS**, on May 21, 2021, NCTCOG initiated a Request for Proposal for a system to optimize the movement of freight vehicles through signalized intersections within the region; and,

**WHEREAS**, Kimley-Horn and Associates, Inc. has been recommended by the Consultant Selection Committee; and,


**WHEREAS**, NCTCOG has complied with federal and State regulations regarding contract and procurement proceedings.

**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

**Section 1.** A contract between NCTCOG and Kimley-Horn and Associates, Inc. for the North Texas Freight Vehicle Intersection Optimization project in an amount not to exceed \$4,250,000 in STBG funds be and is hereby approved. An additional \$250,000 will be payable for performance incentives to incentivize travel time savings and ensure continued operation over a five-year period.

**Section 2.** The Executive Director or designee is authorized to execute a contract with Kimley-Horn and Associates, Inc. in the name of the North Central Texas Council of Governments to carry out the initiatives described herein.

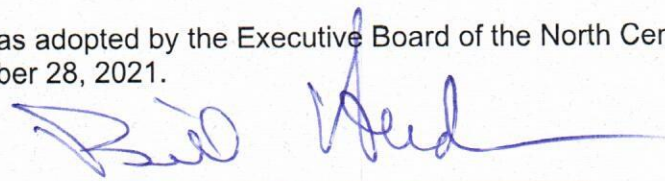
**Section 3.** This resolution shall be in effect immediately upon its adoption.



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David Sweet, President  
North Central Texas Council of Governments  
Rockwall County Judge

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 28, 2021.



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Bill Heidemann, Secretary/Treasurer  
North Central Texas Council of Governments  
Mayor, City of Corinth

**RESOLUTION AUTHORIZING A CONTRACT WITH MEDIAVALET, INC. TO PROVIDE  
DIGITAL ASSET MANAGEMENT SYSTEM**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, NCTCOG has been designated as the Metropolitan Planning Organization (MPO) for the Dallas-Fort Worth Metropolitan Area by the Governor of the State of Texas in accordance with federal law; and,

**WHEREAS**, the Regional Transportation Council (RTC), comprised primarily of local elected officials, is the regional transportation policy body associated with NCTCOG, and has been and continues to be a forum for cooperative decisions on transportation; and,

**WHEREAS**, the Fiscal Year (FY) 2022 and FY2023 Unified Planning Work Program Subtask 1.01 includes a digital asset management tool; and,

**WHEREAS**, NCTCOG initiated a Request for Proposals on July 16, 2021, to procure a digital asset management system; and,

**WHEREAS**, MediaValet, Inc. has been recommended by the Consultant Selection Committee; and,

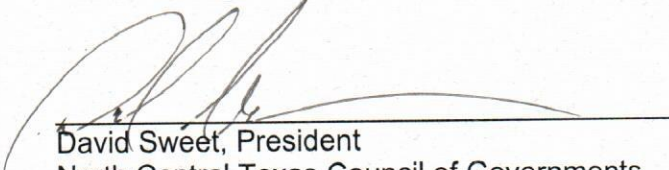
**WHEREAS**, NCTCOG has complied with federal and State regulations regarding contract and procurement proceedings.

**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

**Section 1.** A contract between NCTCOG and MediaValet, Inc. to provide a web-based, digital asset management system in an amount not to exceed \$113,604 over a three-year term be and is hereby approved.

**Section 2.** The Executive Director or designee is authorized to execute a contract with MediaValet, Inc. in the name of the North Central Texas Council of Governments to carry out the initiatives described herein.

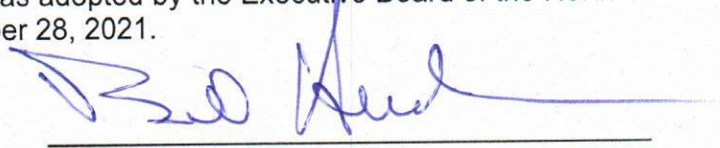
**Section 3.** This resolution shall be in effect immediately upon its adoption.



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David Sweet, President  
North Central Texas Council of Governments  
Rockwall County Judge

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 28, 2021.



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Bill Heidemann, Secretary/Treasurer  
North Central Texas Council of Governments  
Mayor, City of Corinth

**RESOLUTION AUTHORIZING AN AGREEMENT WITH THE FORT WORTH  
TRANSPORTATION AUTHORITY, DBA TRINITY METRO, FOR CONTINUED TRANSIT  
SERVICE BETWEEN THE TRINITY RAILWAY EXPRESS AND DALLAS FORT WORTH  
INTERNATIONAL AIRPORT**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, NCTCOG has been designated as the Metropolitan Planning Organization (MPO) for the Dallas-Fort Worth Metropolitan Area by the Governor of the State of Texas in accordance with federal law; and,

**WHEREAS**, the Regional Transportation Council (RTC), comprised primarily of local elected officials, is the regional transportation policy body associated with NCTCOG, and has been and continues to be a forum for cooperative decisions on transportation; and,

**WHEREAS**, Subtask 3.05 of the Fiscal Year (FY) 2022 and FY2023 Unified Planning Work Program supports the sustainability and innovative solutions for transit services and solutions that move the region toward more comprehensive, coordinated, accessible, efficient, and effective public transit services; and,

**WHEREAS**, on October 14, 2021, the RTC approved final funding to support operations of the shuttle service between the TRE Centrepoint Station and DFW Airport; and,

**WHEREAS**, the Fort Worth Transportation Authority, dba Trinity Metro, is the public transportation provider in Tarrant County in the North Central Texas region and has identified challenges with sustaining transit service between the Trinity Railway Express (TRE) Centrepoint Station and DFW International Airport; and,

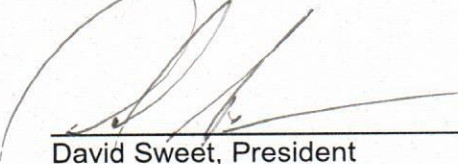
**WHEREAS**, the Interlocal Cooperation Act, Texas Government Code Chapter 791, hereinafter "the Act," provides authorization for a local government to contract with one or more local governments to perform governmental functions and services under the terms of the Act.

**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

**Section 1.** NCTCOG is authorized to execute an agreement with the Fort Worth Transportation Authority, dba Trinity Metro, for project expenses incurred starting October 1, 2021 and an amount not to exceed \$580,000 (\$290,000 in Regional Toll Revenue funds and \$290,000 in local match), to continue providing critical first/last mile transit connections between the TRE Centrepoint Station and DFW International Airport.

**Section 2.** The Executive Director or designee is authorized to execute an agreement in the name of the North Central Texas Council of Governments to carry out the initiatives described herein.

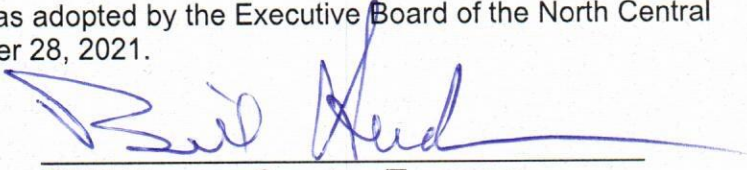
**Section 3.** This resolution shall be in effect immediately upon its adoption.



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David Sweet, President  
North Central Texas Council of Governments  
Rockwall County Judge

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 28, 2021.



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Bill Heidemann, Secretary/Treasurer  
North Central Texas Council of Governments  
Mayor, City of Corinth

**RESOLUTION AUTHORIZING A CONTRACT WITH MCKESSON MEDICAL FOR AGING MEDICAL EQUIPMENT AND SUPPLIES**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, NCTCOG is a voluntary association of, by, and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, NCTCOG has been designated as the Area Agency on Aging for State Planning Region 4A by Texas Health and Human Services Commission (HHS); and,

**WHEREAS**, NCTCOG, in its capacity as AAA, receives funding from HHSC to purchase medical equipment and supplies on behalf of older persons who are at risk of premature institutionalization; and,

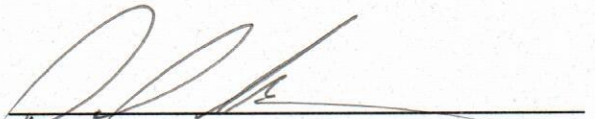
**WHEREAS**, the necessary medical equipment and supplies are available from McKesson Medical, utilizing the Sourcewell cooperative purchasing contract 061417-MML; and,

**WHEREAS**, McKesson Medical has proven ability to meet NCTCOG's medical equipment and supply needs at competitive cost; and,

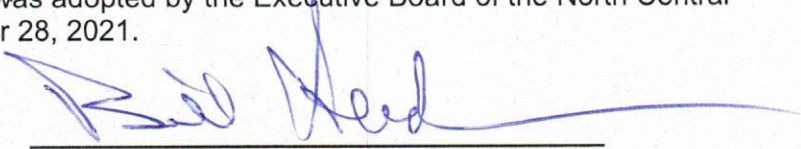
**WHEREAS**, NCTCOG has complied with federal and State regulations regarding contract and procurement proceedings.

**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

- Section 1.** A contract between NCTCOG and McKesson Medical, for medical equipment and supplies for a maximum four (4) year term and in an amount not to exceed \$600,000, be and is hereby approved.
- Section 2.** The Executive Director or designee is authorized to execute agreements necessary to carry out this program in the name of the North Central Texas Council of Governments.
- Section 3.** This resolution shall be in effect immediately upon its adoption.

  
David Sweet, President  
North Central Texas Council of Governments  
County Judge, Rockwall County

I hereby certify that the resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 28, 2021.

  
Bill Heidemann, Secretary-Treasurer  
North Central Texas Council of Governments  
Mayor, City of Corinth



**RESOLUTION AUTHORIZING A CONTRACT WITH BURNS AND MCDONNELL INC. FOR THE REGIONAL ORGANIC WASTE TO FUEL FEASIBILITY STUDY**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is the designated regional solid waste management planning agency for the North Central Texas 16-county region; and,

**WHEREAS**, the NCTCOG Executive Board has adopted an updated regional solid waste management plan, *Planning for Sustainable Materials Management in North Central Texas, 2015 – 2040*, that has specific goals and objectives for achieving state, regional and local solid waste management strategies; and,

**WHEREAS**, one of the regional goals of the updated regional solid waste management plan is to promote the creation and expansion of waste management programs, which includes waste diversion programs, such as those that manage food waste; and,

**WHEREAS**, NCTCOG submitted an application to the grant opportunity, "Supporting Anaerobic Digestion in Communities" on July 9, 2020, in collaboration with the University of Texas at Arlington and was awarded the grant on March 9, 2021; and,

**WHEREAS**, NCTCOG issued a Request for Proposals to procure a contractor to complete the technical study and received three proposals; and,

**WHEREAS**, the Consultant Selection Committee, comprised of participating communities, reviewed the submitted proposals and is recommending Burns & McDonnell Engineering Company, Inc.; and,

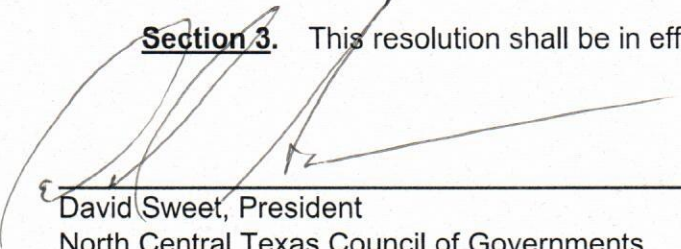
**WHEREAS**, NCTCOG has complied with federal and State regulations regarding contract and procurement proceedings.

**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

**Section 1.** A contract between NCTCOG and Burns & McDonnell, Inc. for the Regional Organic Waste to Fuel Feasibility Study, in an amount not to exceed \$155,000, be and is hereby approved.

**Section 2.** The Executive Director or designee is authorized to execute a contract with Burns & McDonnell, Inc. in the name of the North Central Texas Council of Governments.

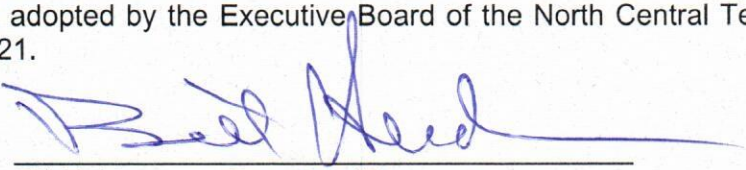
Section 3. This resolution shall be in effect immediately upon its adoption.



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David Sweet, President  
North Central Texas Council of Governments  
Rockwall County Judge

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 28, 2021.

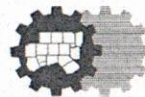


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Bill Heidemann, Secretary/Treasurer  
North Central Texas Council of Governments  
Mayor, City of Corinth

# **Resolution Authorizing a Contract with Burns and McDonnell, Inc. for the Regional Organic Waste to Fuel Feasibility Study**

NCTCOG Executive Board  
October 28, 2021



North Central Texas Council of Governments

# North Central Texas Organic Waste to Fuel Feasibility Study

March 2021 – September 2022

EPA grant-funded study on the potential of anaerobic digestion (AD) in NCT to transform organic waste into renewable natural gas (RNG).

## Regional Study Goals:

- Advance regional efforts to divert food waste and other organics from landfills to preserve landfill capacity
- Increase regional renewable energy opportunities
- Evaluate the potential to reduce fleet emissions
- Identify pilot projects and partnerships to advance those projects

North Central Texas has  
~36 years  
of remaining landfill  
capacity.<sup>1</sup>

50%  
of NCT waste stream is  
organic waste;  
31% is food waste.<sup>1</sup>

RNG use accounted for  
25%  
of GHG emissions reductions  
from DFW fleets in 2019.<sup>2</sup>

# North Central Texas Organic Waste to Fuel Feasibility Study

## Regional Study Tasks:

- Evaluate the supply of organic waste, particularly food waste and wastewater biosolids, in NCT as potential feedstocks for anaerobic digestion.
- Identify models for regional feedstock collection.
- Evaluate existing and future demand for renewable natural gas (RNG) as a vehicle fuel.
- Identify potential regional AD locations based on costs/benefits and emissions reductions.

# North Central Texas Organic Waste to Fuel Feasibility Study

## **Contractor Responsibilities:**

- Conduct a Regional Market Assessment and Feedstock Collection Network Assessment to prioritize organics feedstocks.
- Identify priority fleet applications for RNG fuel.
- Collaborate with UT-Arlington researchers on AD site selection.
- Assemble key regional stakeholders from various sectors into a Project Advisory Group.
- Assist NCTCOG with overall project management.

**RESOLUTION ENDORSING THE 2021 INTERNATIONAL ENERGY CONSERVATION CODE AND ASSOCIATED REGIONAL CODE AMENDMENTS**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, NCTCOG has been active in promoting construction code uniformity in the region for over five decades; and,

**WHEREAS**, code uniformity has been documented to lead to a decrease in confusion and delays for builders, developers, and architects; a decrease in costs to municipalities in the development of codes; and, ultimately a decrease in construction and insurance costs to consumers; and,

**WHEREAS**, the 2021 International Codes are the most current nationally recognized industry standard for buildings and construction; and,

**WHEREAS**, the Regional Codes Coordinating Committee (RCCC), through its respective code advisory boards, has reviewed the 2021 International Energy Conservation Code; and

**WHEREAS**, RCCC Advisory Boards have developed regional amendments to the aforementioned code; and,

**WHEREAS**, the RCCC has reviewed and approved the recommended regional amendments.

**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

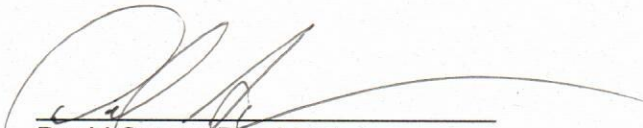
**Section 1.** The NCTCOG Executive Board supports the current activities in promoting building code uniformity in the North Central Texas region.

**Section 2.** The NCTCOG Executive Board recommends adoption of the 2021 International Energy Conservation Code by all cities and counties in the North Central Texas region.

**Section 3.** The Executive Board endorses the Regional Codes Coordinating Committee recommended regional amendments to the 2021 International Energy Conservation Code and recommends these amendments be adopted by all cities and counties in the North Central Texas region.

**Section 4.** The code amendments will be transmitted to all local governments within the 16-county region.


**Section 5.** This resolution shall be in effect immediately upon its adoption.



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David Sweet, President  
North Central Texas Council of Governments  
Rockwall County Judge

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 28, 2021.



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Bill Heidemann, Secretary/Treasurer  
North Central Texas Council of Governments  
Mayor, City of Corinth



**RESOLUTION ENDORSING THE 2021 INTERNATIONAL ENERGY CONSERVATION CODE AND  
ASSOCIATED REGIONAL CODE AMENDMENTS**

**PRESENTATION**

**RESOLUTION ENDORSING THE 2021  
INTERNATIONAL ENERGY CONSERVATION  
CODE AND ASSOCIATED REGIONAL CODE  
AMENDMENTS**

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NCTCOG Executive Board  
October 28, 2021



North Central Texas Council of Governments

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## **REGIONAL CODE AMENDMENTS**

### **PURPOSE**

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- NCTCOG has promoted the standardization of model construction codes since 1967 and serves as facilitator in the continual review of the latest editions and recommendations for regional amendments to the model codes to reduce variation of local construction codes
- As North Central Texas municipalities adopt standardized codes and regional amendments, it becomes easier for contractors, builders, and developers to do work from city to city throughout the region, thereby reducing overall construction costs as well as training costs for codes personnel
- Process overseen by the Regional Codes Coordinating Committee (RCCC) and five advisory boards with representatives from municipal governments and private industry professionals

## **2021 REGIONAL CODE AMENDMENTS**

### **REVIEW PROCESS**

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**Previous Code Amendment Cycle – 2018 International Codes**

**Current Edition and Regional Amendments – 2021 International Codes**

**Advisory Boards reviewed the 2021 Suite of International Codes and completed the review process for all but the International Energy Conservation Code in July 2021**

- All items except the International Energy Conservation Code were reviewed and approved by the Executive Board at their July 22, 2021 meeting.
- The Energy and Green Advisory Board completed their review of the regional amendments in August 2021.

**RCCC endorsed the 2021 International Energy Conservation Code Amendments on October 12, 2021**

## **2021 REGIONAL CODE AMENDMENTS**

### **REQUESTED EXECUTIVE BOARD ACTION**

- Supports the current activities in promoting building code uniformity in the North Central Texas region
- Endorses the 2021 International Energy Conservation Code and associated Regional Code Amendments
- Recommends all cities and counties in North Central Texas adopt the 2021 International Codes and corresponding regional amendments

## 2021 REGIONAL CODE AMENDMENTS

### QUESTIONS/CONTACT

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**Edith Marvin**

Director of Environment and Development

Email: [emarvin@nctcog.org](mailto:emarvin@nctcog.org)

Phone: 817-695-9211

**Carolyn Horner**

Sr. Environment and Development Planner

Email: [chorner@nctcog.org](mailto:chorner@nctcog.org)

Phone: 817-695-9217

**Regional Code Amendments for All Codes**

<https://www.nctcog.org/envir/regionabuilding-codes/amendments>

**RESOLUTION AUTHORIZING CONTRACT EXTENSION WITH HALFF ASSOCIATES, INC. FOR THE COOPERATING TECHNICAL PARTNERSHIP**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, NCTCOG has outlined a multijurisdictional risk assessment process known as HazMAP that identified the hydrological hazards that this region will likely face over the next 30 years; and,

**WHEREAS**, NCTCOG was designated in 2002 as a Cooperating Technical Partner (CTP) program with the Federal Emergency Management Agency (FEMA) that provides opportunities for local governments to participate in discovery and flood risk assessment studies to evaluate flood risk in their communities; and,

**WHEREAS**, NCTCOG has successfully fulfilled the role of a CTP in partnership with FEMA and member governments and has provided data, outreach, and research to support FEMA's RiskMAP program; and,

**WHEREAS**, FEMA has awarded NCTCOG funding to conduct studies for the NCTCOG FY2022; and,

**WHEREAS**, the NCTCOG Executive Board authorized a contract with Halff and Associates, Inc. on October 27, 2017 for a not to exceed amount of \$4,500,000 over a term of up to six years to support CTP projects approved by FEMA; and,

**WHEREAS**, the Master Agreement with Halff Associates for these services expires December 7, 2023; and,

**WHEREAS**, due to multi-year studies that have been funded by FEMA to begin in FY2022, the current deadline of December 7, 2023, needs to be extended with a no-cost period of performance extension through December 31, 2024.

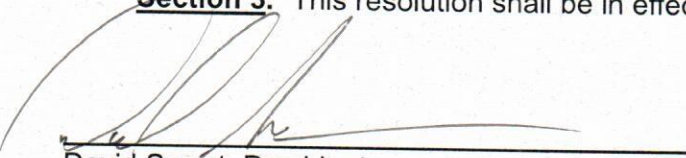
**WHEREAS**, NCTCOG will be issuing a new Request for Proposals in FY2022 to procure a contractor to initiate FY2023 FEMA CTP projects.

**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

**Section 1.** An amendment extending the term of the contract between NCTCOG and Half Associates, Inc, to perform CTP services through December 31, 2024, be and is hereby approved.

**Section 2.** The Executive Director or designee is authorized to execute a contract amendment with Half Associates, Inc., in the name of the North Central Texas Council of Governments consistent with the terms of this resolution.

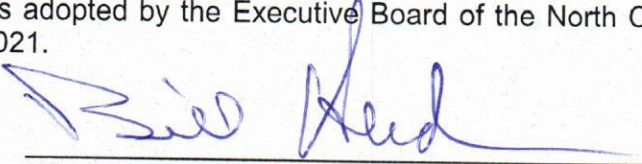
**Section 3.** This resolution shall be in effect immediately upon its adoption.



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David Sweet, President  
North Central Texas Council of Governments  
Rockwall County Judge

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 28, 2021.



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Bill Heidemann, Secretary/Treasurer  
North Central Texas Council of Governments  
Mayor, City of Corinth